

Climate Change and Energy: A Workshop for DDOT June 29, 2011





Workshop Purpose

- To provide overview <u>information</u> on climate change and energy
- To provide <u>foundation</u> for state DOTs and partner agencies to respond to climate change and energy challenges
- To foster collaborative discussions on <u>possible next</u> <u>steps</u>



Workshop Overview

- I. Climate Change/Energy Science, Sources, Trends
- II. Importance of Climate Change for DDOT
- III. Strategies to Reduce Transportation GHG Emissions
- IV. Federal Direction Legislation, Planning, and NEPA Issues
- V. Climate Adaptation for Transportation
- VI. Next Steps for DDOT: Break-out Groups to Brainstorm Ideas for Next Steps

I. Climate Change/Energy: Science, Sources, Trends



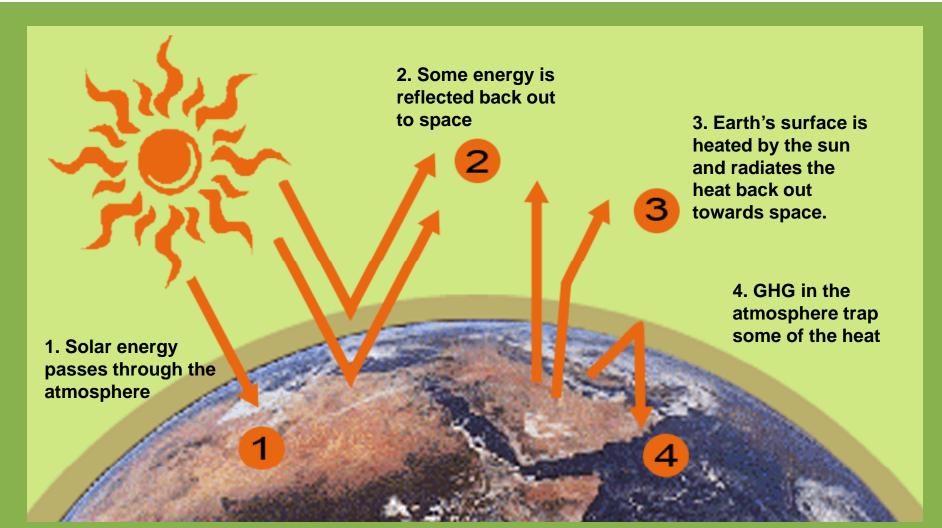
What is climate change?

The United Nations Framework
Convention on Climate
Change (UNFCCC) defines
Climate Change as:

"A change of climate which is attributed directly or indirectly to human activity that alters the composition of the global atmosphere and which is in addition to natural climate variability observed over comparable time periods."



What is the Greenhouse Effect?



What is the evidence of climate change?

- 14% increase in human GHG since 1990 USA
- 26% increase in human GHG since 1990 World
- GHG levels are at highest in 1000s of years
- 2000-2009 was the warmest decade on record worldwide
- Heat stored in oceans has increased substantially
- Sea surface temperatures have been higher during the past three decades than at any other time since large-scale measurement began in the late1800s.
- In recent years, a higher percentage of precipitation in the United States has come in the form of intense single-day events.
- 8 of top 10 years for extreme one-day precipitation events occurred since 1990.
- The occurrence of abnormally high annual precipitation totals has increased.
- Intensity of tropical storms in the Atlantic, Caribbean, and Gulf has risen noticeably over the past 20 years.
- 6 of the 10 most active hurricane seasons have occurred since the mid-1990s.

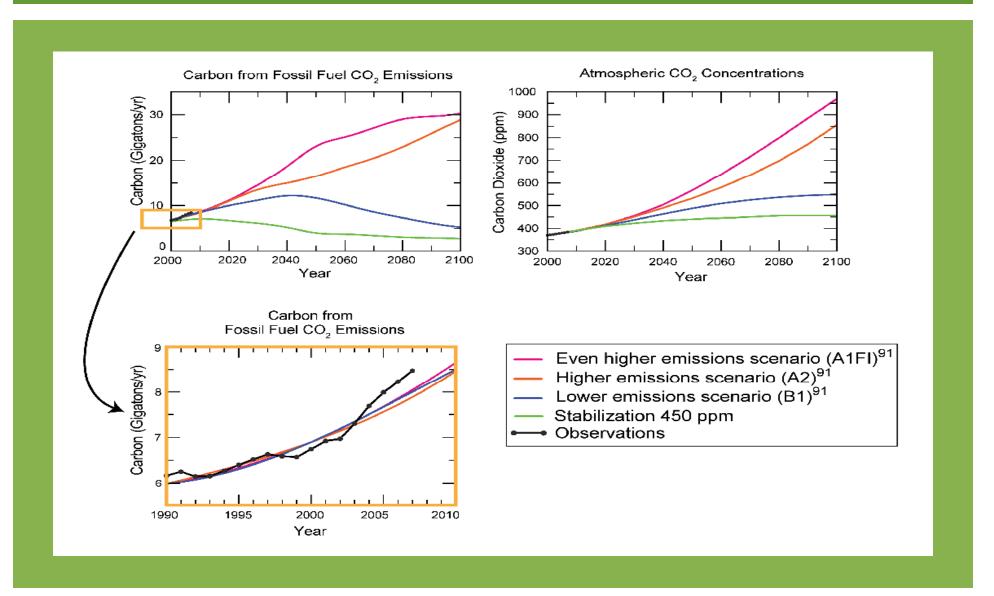
Source: EPA, Climate Change Indicators in the U.S., May 2010

What is the evidence of Climate Change? (continued)

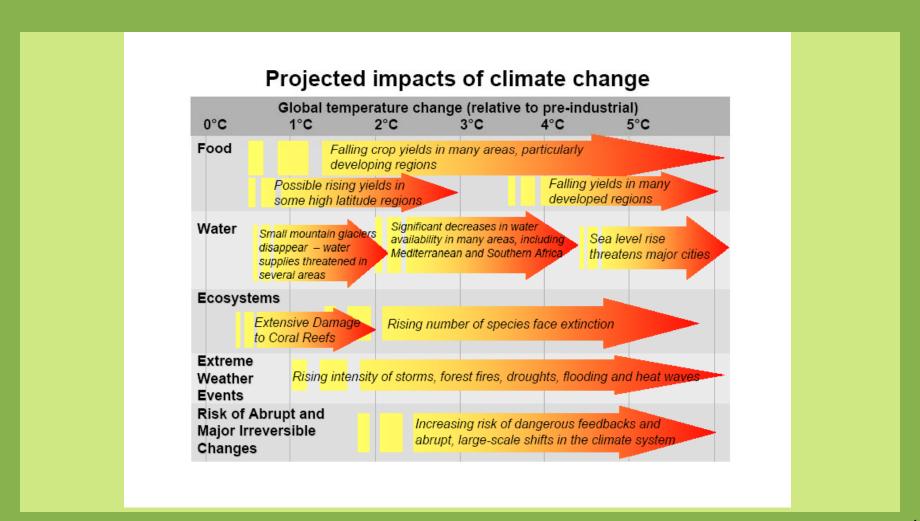
- Sea level worldwide has increased at a rate of roughly 0.6" per decade since 1870.
- Sea level increase has accelerated to more than 1"/decade in recent years.
- Oceans have become more acidic over the past 20 years, and studies suggest that
 the ocean is substantially more acidic now than it was a few centuries ago. Rising
 acidity is associated with increased levels of carbon dioxide dissolved in the water,
 and affects sensitive organisms such as corals.
- Sept 2007 had least Arctic sea ice of any year on record, followed by 2008 and 2009.
- Arctic sea ice in 2009 was 24 percent below the 1979-2000 historical average.
- Glaciers in U.S. and around the world have generally shrunk since the 1960s and the rate at which glaciers are melting appears to have accelerated over the last decade.
- Glaciers worldwide have lost more than **2,000 cubic miles of water** since 1960.
- Average length of the growing season in the lower 48 states has increased by about two weeks the since beginning of the 20th century.
- North American bird species have shifted their wintering grounds northward by an average of 35 miles since 1966, with a few species shifting by several hundred miles.

Source: EPA, Climate Change Indicators in the U.S., May 2010

GHG Scenarios



What are the impacts of climate change?

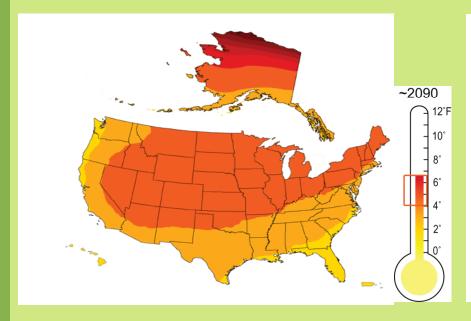


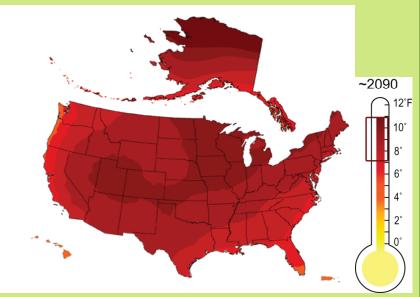
Temperature Changes (°F)

(compared to 1961-1979 Baseline)



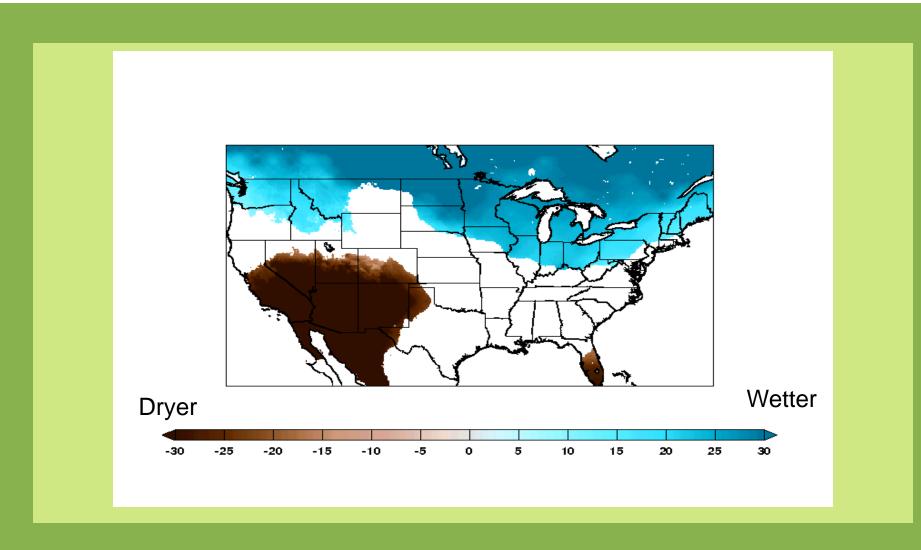
(2080-2099 average)





Precipitation Changes

(Change in Spring Precipitation – by 2090, showing areas of highest confidence in model prediction)



How widespread are climate change concerns?

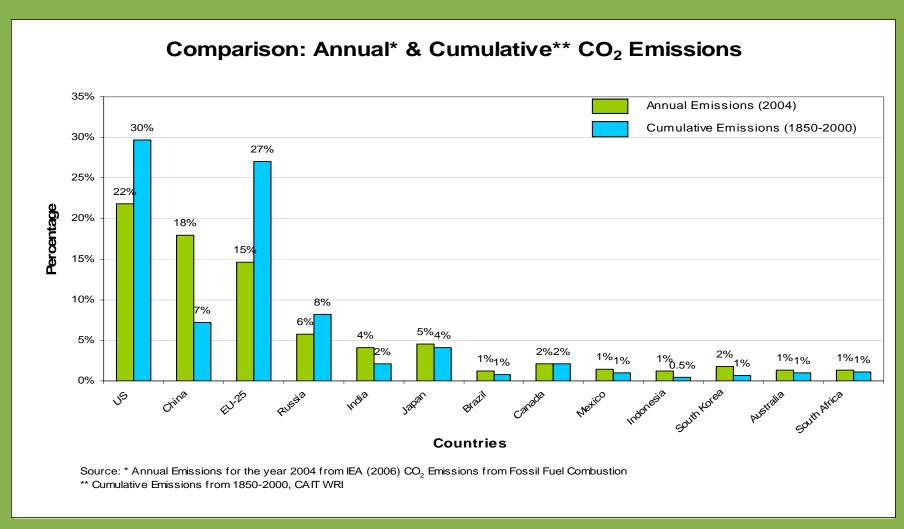
- Over 2000 leading scientists worldwide contributed to IPCC report
- 33 U.S. states have developed climate change action plans
- U.S. military is actively preparing for climate change
- U.S. Climate Action Partnership includes 23 major corporations and 5 nongovernmental groups which have called for U.S. Congress to enact strong GHG targets to achieve significant reductions in GHG:

AES, Alcoa, Alstom, Boston Scientific Corporation, Chrysler, The Dow Chemical Company, Duke Energy, DuPont, Environmental

Defense Fund, Exelon Corporation, Ford Motor Company, FPL Group, General Electric, General Motors, Honeywell, Johnson & Johnson, Natural Resources

Defense Council, The Nature Conservancy, NRG Energy, PepsiCo, Pew Center on Global Climate Change, PG&E Corporation, PNM Resources, Rio Tinto, Shell, Siemens Corporation, Weyerhaeuser, World Resources Institute.

Where do all those GHG come from?



What GHG targets have been set?

- Scientists recommend 60-80% GHG reduction below 1990 level by 2050 to avoid worst impacts
- Many states and countries have adopted similar targets
- President Obama's budget: 80% GHG reduction below 2005 by 2050
- Waxman-Markey bill: 17% below 2005 by 2020 and 83% below 2005 by 2050
- Kerry-Lieberman bill: 17% below 2005 by 2020 and 83% below 2005 by 2050
- District of Columbia: Reduce D.C. Govt emissions 20% below 2006 by 2012, 30% below 2006 by 2020 and 80% below 2006 by 2050
- Metro Washington, D.C. Region: 10% by 2012, 20% by 2020, 80% by 2050

(Source: Climate of Opportunity: A Climate Action Plan for the District of Columbia, Draft, Sept. 2010)

D.C. Govt. Transportation Measures

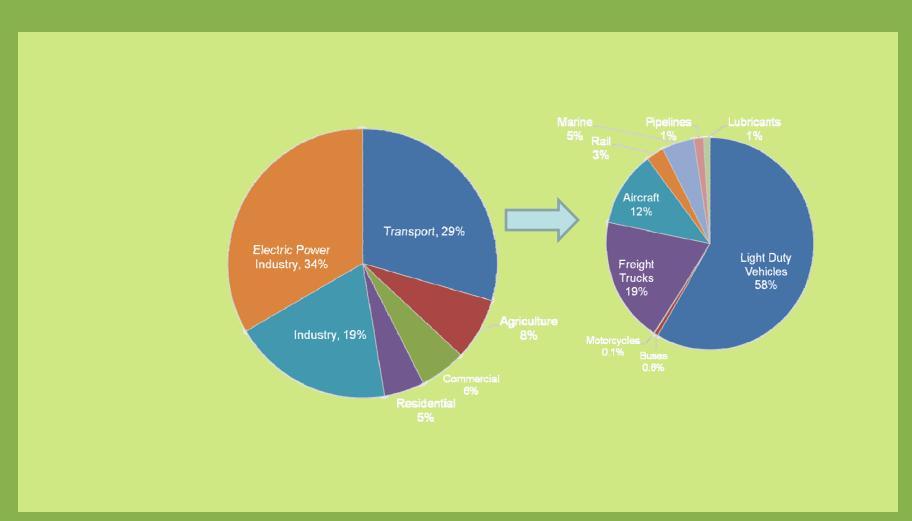
- District Employee Commute Program
- Employee Car-sharing Program
- Electric vehicle usage program
- Police department cruiser fleet right sizing
- Street lighting retrofits

District of Columbia Transportation Measures - Community Wide

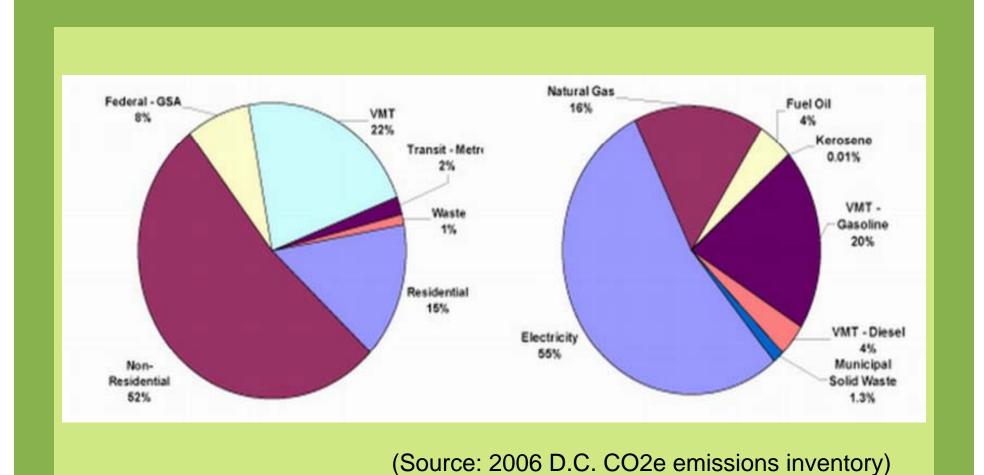
- Bus Rapid Transit Expansion
- Streetcar Project
- Capital Bike Share Program Expansion
- Bike Master Plan Implementation
- Performance based parking
- Incentives for electric hybrid vehicles
- Electric vehicle plug-in station
- Fuel efficient tour buses
- Anti-idling initiatives
- Promotion of car-sharing programs
- Federal CAFÉ standards
- Land use patterns that increase transportation choices

What is transportation's share of U.S. GHG?

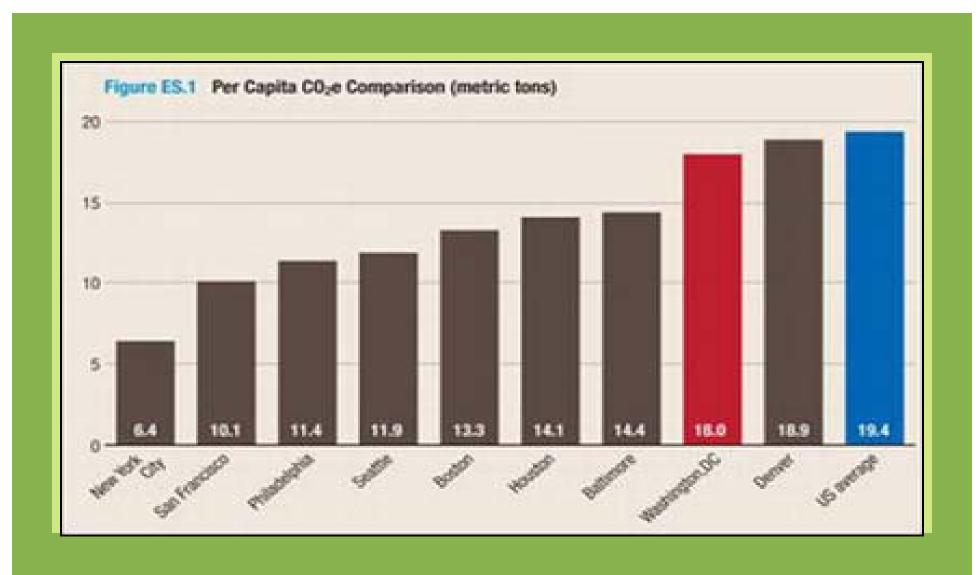
Source: U.S. DOT Report to Congress, 2010



District of Columbia CO2 Transportation 24%



D.C. Per Capita CO2e Emissions



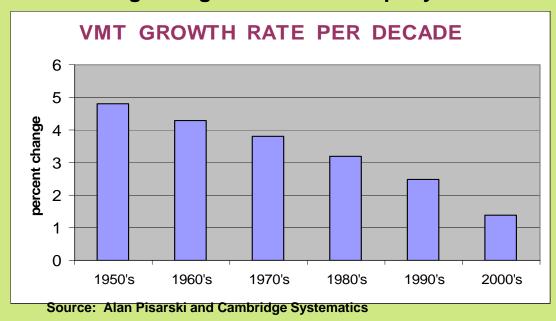
What are U.S. transportation GHG trends?

Source: U.S.DOT Report to Congress, 2010

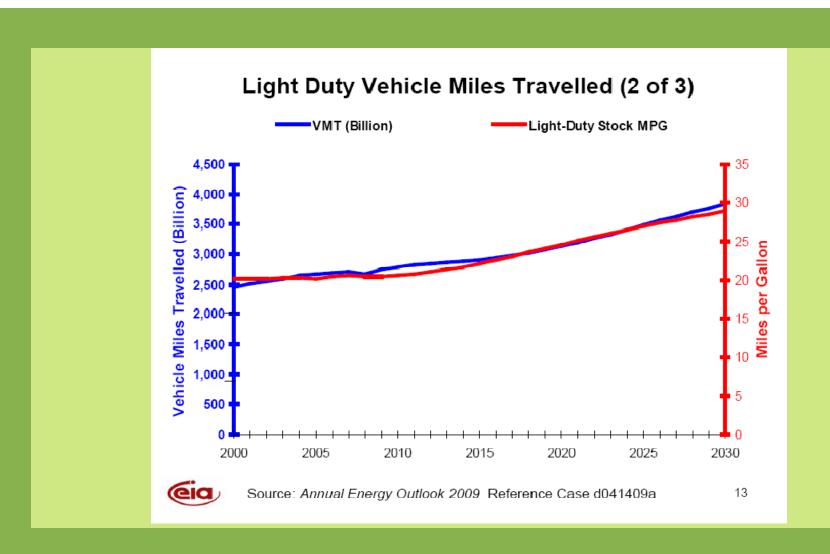
	Change, 1990-2006
All U.S. GHG Sources	15%
U.S. Transportation	27%
Light Duty Vehicles	24%
Freight Trucks	77%
Commercial Aircraft	4%

U.S. VMT growth rates are declining

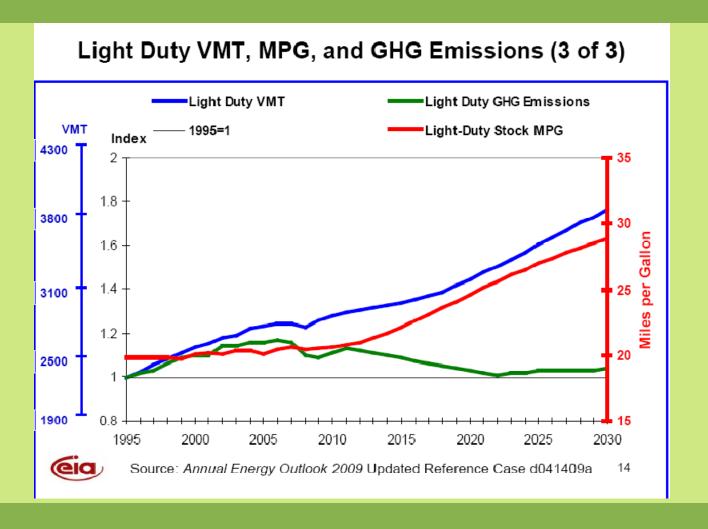
- VMT growth has been steadily declining since the 1950s
- VMT growth slowed to about 1.5% in early 2000s
- VMT growth was actually negative in 2008, pattern of upward growth in 2009
- VMT is affected by population, economy, transportation prices, demographics, land use
- AASHTO supports reducing VMT growth rate to 1% per year



DOE expects VMT and MPG both to rise



As VMT and MPG rise, GHG is nearly flat

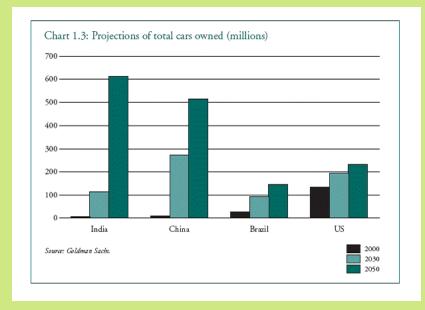


What are the global trends in vehicle ownership and use?

 Today, car ownership in the U.S. is greater than in India, China, and Brazil combined.

By 2050, car ownership in those countries will by 5x greater than in

the U.S.



Source: The King Review, Table 1.1 and Goldman Sachs, "The BRICs and Global Markets: Crude, Cars and Capital: Goldman Sachs Global Economics Paper No 118, 2004.

Now Consider Energy Security

- Even if climate change went away, energy security is a growing concern
- ~\$1 billion/day = U.S. payments to other countries for imported oil
- Consider what \$365 billion/year could do if invested in U.S. economy (or deficit reduction)
- Largest transfer of wealth in human history?
- 70% of U.S. oil consumption is from transportation
- 61% of D.C. CO2 from electricity consumption
- Reducing transportation energy consumption = reduced GHG, lower transportation costs, greater wealth retained in U.S., reduced vulnerability to hostile nations

Energy Security and Climate Change

- Most of the strategies to reduce transportation energy consumption also reduce GHG emissions:
 - High MPG vehicles
 - Low-carbon fuels
 - Reduced VMT growth
 - Reduced congestion
 - Eco-driving
 - Energy efficient construction and maintenance practices and materials
 - And more.....
- And they save money for cash-strapped DOTs and households

Wal-Mart Perspective

"We know we need to get ready for a world in which energy will only be more expensive."

Wal-Mart will cut 20 MMT of GHG from its supply chain by the end of 2015 — equivalent to removing >3.8 million cars from the road for a year.

Wal-Mart is already requiring suppliers to cut packaging, selling "Walmart-label" CFL bulbs in Mexico, and labeling clothes as cold-water wash.

Should state DOTs take a page from Wal-Mart's book?

II. Importance of Climate Change/Energy to State DOTs and their Partners

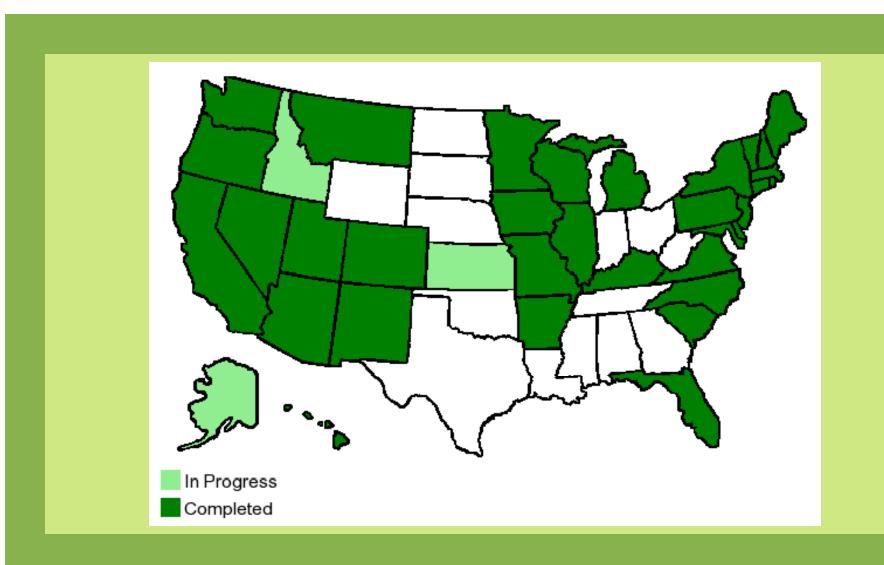


Four Climate/Energy Issues for Transportation

- 1. <u>Climate adaptation</u>: Physical impacts of climate change on transportation facilities, systems and operations
- 2. <u>GHG mitigation</u>: State and federal policies calling for GHG reductions
- 3. <u>Energy</u>: Higher costs and energy volatility for agencies, households, and all levels of government
- 4. <u>Transportation revenue</u>: Declining revenue as U.S. shifts to alternative energy and high MPG vehicles

State Climate Action Plans

Source: Pew Center on Climate Change



State Climate Plan Goals

States	Year	% Reduction in Transportation GHG	% of all GHG Reductions from Transportation
D.C./Region*	2020	33.5%	?
New York	2020	18%	7%
Connecticut	2020	N/A	7%
Pennsylvania	2025	30%	8%
Maine	2020	23%	27%
Minnesota	2025	27%	5%
Oregon	2025	25%	8%
New Mexico	2020	30%	8%
Arizona	2020	25%	9%
North Carolina	2020	31%	11%

State Climate Plans – Transportation Elements Vary All Across the Map

State	Year	Vehicle	Low Carbon Fuels	Smart Growth and Transit	Other
D.C. Region*	2020	17.3%	2.1%	5.35%	8.75%
NC	2020	35%	12%	38%	15%
SC	2020	14%	55%	29%	1%
СТ	2020	51%	38%	8%	2%
ME	2020	53%	25%	21%	1%
MD	2025	24%	12%	45%	20%
NY	2020	59%	11%	27%	4%
PA	2025	53%	15%	<1%	28%
MN	2025	15%	35%	25%	25%
VT	2028	21%	14%	49%	17%

Source: Wash COG Analysis, see slide 47.

State Climate Action Plans

- Highly "aspirational"
- Managed by state environmental agencies
- Steering Committees included multiple environmental advocates and rarely had transportation agency reps
- State DOT involvement was at a technical advisory level
- Example: VT strategies would reduce 2030 VMT from 10.5 B (base case) to 3.9 B

What are Other State DOTs Doing on Climate Change?

- California: http://www.dot.ca.gov/docs/ClimateReport.pdf
- Maryland:
 - http://www.mde.state.md.us/assets/document/Air/ClimateChange/Appendix _C_%20MDOT_CLimate_Action_Process.pdf
- Oregon: http://www.oregon.gov/ODOT/SUS/docs/EffortsOnClimate
 Change2008.pdf
- Vermont: http://www.aot.state.vt.us/planning/Documents/Planning/
 VTransClimateActionPlanfinal1.pdf
- Washington: http://www.wsdot.wa.gov/environment/climatechange/
- New York: http://www.nysdot.gov/nasto/repository/WS4d_Zamurs%20_AASHTO_0.ppt
- Florida: http://www.dep.state.fl.us/climatechange/files/action_plan/chap5_trans.pdf.

Summary

- Moving away from our dependence on oil and reducing GHG emissions will be the greatest challenge to decision-making for transportation policies, programs, and investments in the coming decades.
- Other sectors are moving on climate change policies faster than transportation
- States are adopting sweeping policies with little or no input from transportation agencies or experts

Source: Transportation's Role in Climate Change: TRB Executive Committee, June 2008

Transportation and Climate Change

The New York State DOT Perspective

John Zamurs

New York State Department Of Transportation

DDOT Climate Change Workshop

June 29, 2011

Washington DC

NYSDOT General Approach

Climate Change strategies should consider

- vehicle technology
- fuels
- VMT/demand

NYSDOT General Approach

- State DOTs have greatest opportunity to affect climate change on VMT/demand
- State DOTs should support vehicle technology and fuel improvements

NYSDOT General Approach

- "Think globally Act locally"
- Individual transportation actions may have small effect
- Cumulatively start to make a difference

NYSDOT Climate Change/ Energy Efficiency Team

- Multi-disciplinary group from all relevant program areas
- Executive level support and commitment
- Team considerations: reducing transportation energy costs for public; promoting energy efficient programs and projects; reducing NYSDOT's carbon footprint; funding opportunities

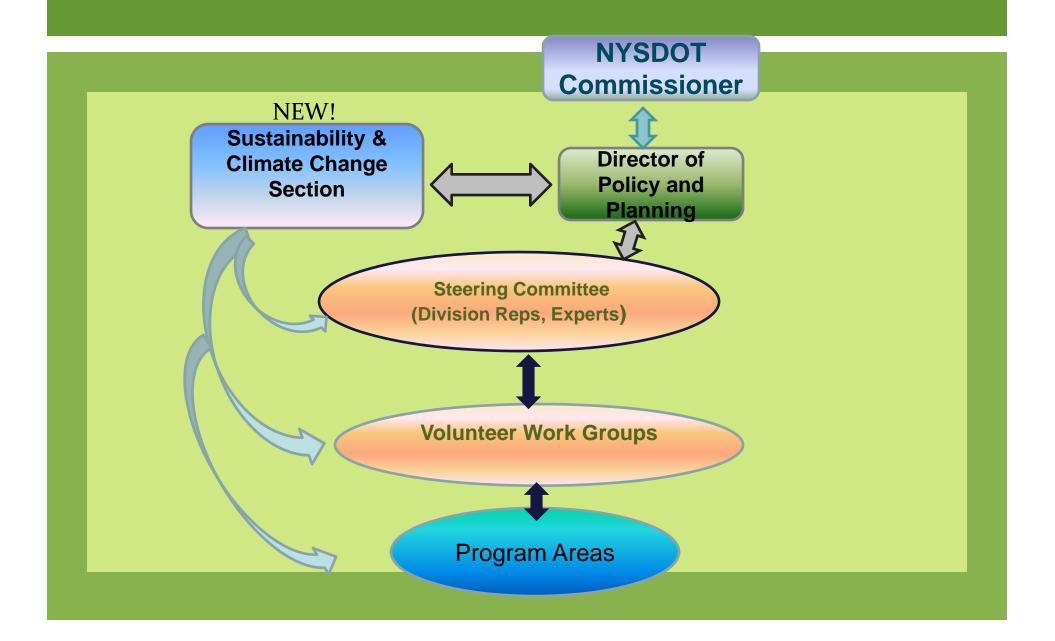
NYSDOT Climate Change/ Energy Efficiency Team

- Charge to Team "Institutionalize climate change/ energy efficiency in everything we do"
- Includes major policy and project directions to actions of individual DOT employees

NYSDOT Climate Change/ Energy Efficiency Team

- ~ 70 members
- Divided into 6 workgroups
 - 1) NYS transportation sector
 - 2) NYSDOT carbon footprint
 - 3) fuel availability and cost forecasts
 - 4) adaptation
 - 5) outreach and education
 - 6) funding availability

NYSDOT's Organizational Approach: 2010/11



External Influences

Interagency VMT Reduction Workgroup

State Energy Plan

Climate Action Plan

Objective

- Consider the energy and greenhouse gas impacts of transportation actions
- Assess and compare the energy and greenhouse gas impacts due to the implementation of projects listed in TIPs and Long Range Plans
- Assess and compare energy and greenhouse gas impacts among alternatives at project level

NYSDOT "Top 11" List of Actions

- 1) Mass transit
- 2) Emissions reporting
- 3) Traffic signals
- 4) Freight management
- 5) Managed lanes
- 6) Smart growth/land use
- 7) Idle reduction
- 8) Commuter Choice
- 9) Air quality education
- 10) Alternative fuels
- 11)Research



Mass Transit

Funding

Park and Ride Lots

• 511NY

Greenhouse
 Gas Reduction



- NY is only state to report energy and greenhouse gas emissions for adoption of TIPs and long range Plans
- Results to date indicate ~ 11% improvement compared to business- as-usual case
- NY also looks at greenhouse gas and energy during project alternative selection
- Need improvements in modeling methods



Direct energy reduction: 43.5X10⁹ BTUs/day

Carbon Reduction: 6,381 tons/day

- Analysis for major projects
- Comparison among alternatives, including No-Build
- Includes operational, construction and maintenance aspects of projects
- Typical differences among alternatives range from 1700 tpy to 15000 tpy carbon emissions

- NYSDOT developed a GUI to perform direct energy and GHG estimates
- Direct (Operational) estimates based on MOVES-HVI
- Indirect (Construction and Maintenance) based on CalTrans guidance
- MOVES-RREGGAE (Roadway and Rail Energy and Greenhouse Gas Analysis Extension)
- Recently rolled out to Regions and MPOs

Traffic Signals



- 6000 NYSDOT owned signals
- LED replacements
- Decrease CO₂ emissions over 4M kg/year

Managed Lanes

New York's Clean Pass Program

- Innovative, multi-agency (NYSDOT, NY DMV, NYSDEC) program
- Allow eligible low-emission, energy-efficient vehicles to use the 40-mile Long Island Expressway High Occupancy Vehicle (LIE/HOV) lanes regardless of the number of occupants in the vehicle.
- The program results in an estimated reduction of 6,000 tons of greenhouse gas emissions and savings in excess of 500,000 gallons of gasoline.

https://www.nysdot.gov/programs/cleanpass



Smart Growth/Land Use

Transit Oriented Development training
 Tappan Zee/Hudson valley corridor

Smart Growth Website

https://www.nysdot.gov/programs/smartplanning

Smart Growth/Land Use

- New York is a "home rule" state
- Need cooperation of local government to promote smart growth principles
- Integration of transportation planning and land use planning
- State Smart Growth Public Infrastructure Act, 2010

Commuter Choice

- Transit/rideshare programs to reduce SOV commuting
- NYSDOT funding downstate program for many years
- NYSDOT starting to fund programs in upstate urban areas
- Working with non-profit transportation management associations as well as city and county governments

Air Quality Education

- AQAD (Air Quality Action Day) Alerts
 - Ozone
 - PM





Air Quality Education

 INFORM (INformation FOR Motorists) - one of the nation's largest and most advanced traffic information systems for motorists covering Long Island's 50-mile central corridor.



Air Quality Education and Outreach

- Outreach to public to focus on connection between transportation choices and air quality
- CleanAir NY funded at \$6 M over 4 years
- Include greenhouse gasses
- 3.13% VMT reduction on Air Quality Action Days
- www.cleanairny.org



Alternative Fuels

- 1121 light-duty CNG/hybrid/biofuel vehicles
 - (59% of fleet)
- 25 medium and heavy-duty alternative fuel vehicles
- Displaced >750,000 gge in 2009
- Built 60 CNG stations
 - 11 open to public
 - Collaborate with NYS OGS





Actions to Date

- TIP guidance/direction to include explicit climate change/energy efficiency consideration
- Joint research co-funded with NYSERDA

5 rounds of RFPs

\$7.1 million

51 projects selected

Involvement with RGGI

portion of allowance revenue stream for transportation

DOT is member of staff operations work group

B5 biodiesel in NYSDOT diesel fleet

Actions to Date

- Pilot compressed work week for NYSDOT employees
 12% of DOT employees participating
- Shut down computers at night
- Selective building/residency retrofits/upgrades
- LED traffic lights consideration of select signals on flash at night
- Fax machines on national Do-Not-Call list
- Experiment Warm Mix Asphalt, tire shreds, sign reflectivity, other construction and maintenance practices
- Much more to come

Importance of Partnerships

 Network w/ various professions due to overlapping authority of potential strategies



- Increase credibility of chosen strategies
- Increase potential funding opportunities



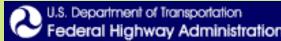
Examples:



- Columbia University: "Mainstreaming Climate Change Adaptation into NYSDOT's Operations"
- NYSERDA: ClimAID
- NYPA Solar Initiative
- 511NY

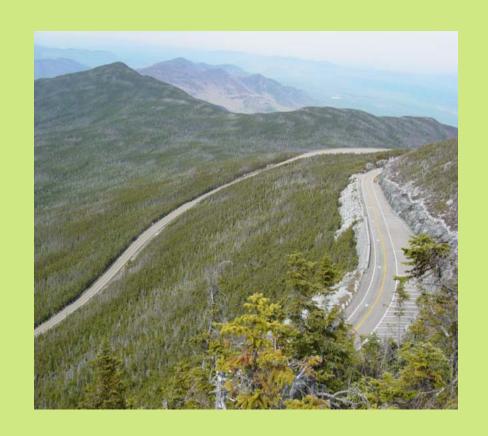






Transportation – Supporting a Sustainable Society

The sustainability framework will help integrate climate change into our ongoing public service mission.



NYSDOT Sustainability Policy

NYSDOT will advance sustainability by:

- Advocating and promoting its vision of sustainability to appropriate stakeholders and communities in the transportation decision making process.
- Incorporating sustainability concepts into the Department's procedures, investments, policies, manuals, specifications, programs, projects and best practices.
- Evaluating the costs and benefits (societal, environmental, and economic) of transportation investments over lifecycles as well as fiscal cycles.
- Recognizing the transportation system's significant contribution as an integral part of a sustainable society.

GreenLITES – Measuring Sustainability

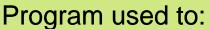












- Measure our sustainability performance
- Recognize and promote sustainability best practices
- Identify areas where we need to improve our sustainability practices
- GreenLITES Design is modeled after:
 - Building industry LEED program
 - University of Washington Greenroads initiative
- GreenLITES is a self certification program as a way to demonstrate to public officials and the public how NYSDOT is advancing sustainable practices.
- Includes Design, Operations, Local Projects, Regional Assessment Tool

NYS ADAPTATION EFFORTS / RESOURCES

Statewide:

- NYS Sea Level Rise Task Force (2008)
 - 2011 Interagency Work Group
- NYSERDA's ClimAID Project
- NYS Climate Action Council (2009)
- NYS Climate Smart Communities (2009)

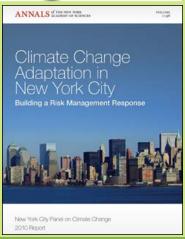
Local/Regional Examples:

- New York City's PlaNYC
- Hudson Valley Climate Action Network

Climatologists:

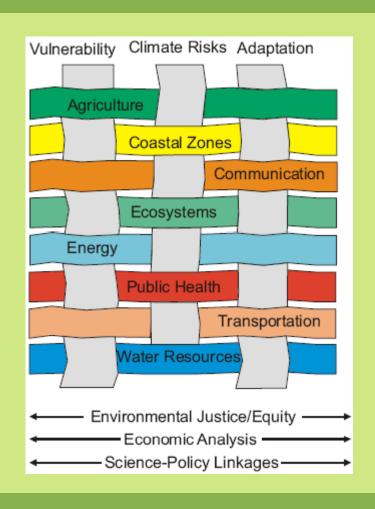
- NOAA Northeast Regional Climate Center
- Center for Climate Systems Research Columbia University





NYSDOT's Adaptation Activities

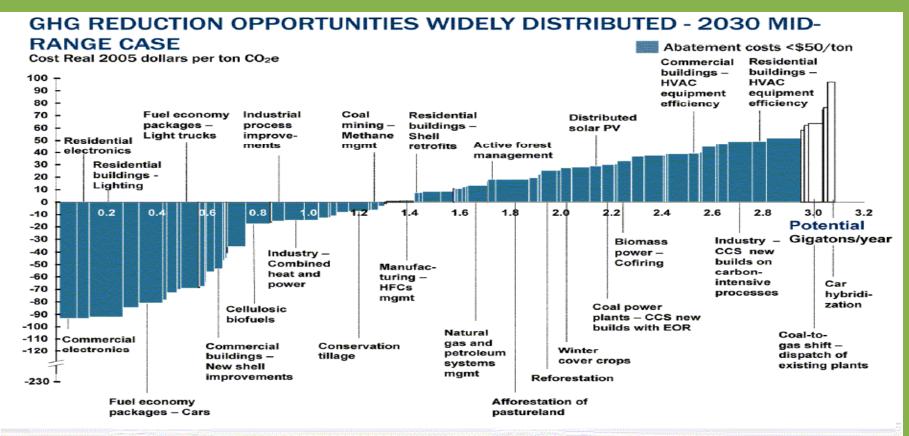
- Work Group 4:
 - Dept-wide Representation, By interest - "Volunteers"
- Synthesis Study:
 - "Mainstreaming CC Adaptation Strategies into NYSDOT's Operations"
 - Workshop in Sept 2011 –
 Executives & Practitioners
- NYS Interagency Workgroup- 2011
 - Goals and strategies
 - State agencies consistency
 - Neighboring states



III. Transportation Strategies to Reduce GHG/Energy Use



CONTEXT/ALL SECTORS: McKinsey Finds Available Technologies can Reduce 3 Billion Tons GHG/Year at < \$50/ton (this is 31% of GHG economy-wide in 2030)



The analysis found that abatement options are highly fragmented and widely spread across the economy. Almost 40 percent of abatement could be achieved at "negative" marginal costs, i.e., the savings over the lifecycle of these options would more than pay for the incremental investment, operating, and maintenance costs. Realizing the potential of many negative-cost options would require overcoming persistent barriers to market efficiency.

What is the full array of transportation strategies to reduce GHG?

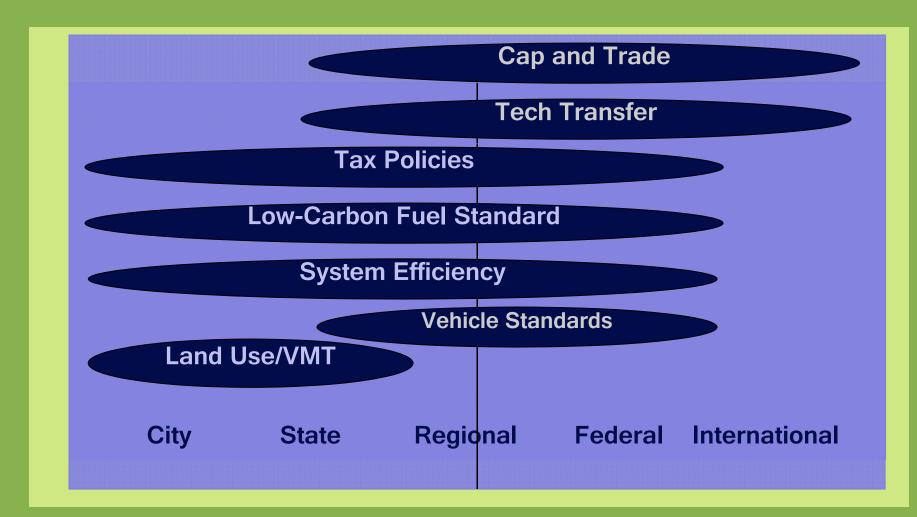
Five GHG "legs"

- 1. Vehicle efficiency
- 2. Low-carbon fuels
- 3. VMT Reductions (including land use)
- 4. Vehicle/System Operations
- 5. Construction,Maintenance, andAgency Operations

Examples

- Higher CAFE standards 380 gm/mile to 250 gm/mile 2016
- CA's low carbon fuel standard
- Less travel, could be in part due to land use changes
- Signalization, ITS, Eco-driving
- Materials, maintenance practices

What kinds of transportation strategies are needed? 2011 Pew Center Report



How much can transportation strategies reduce GHG? 2011 Pew Center Report

- Presents base case + 3 scenarios for transportation GHG reductions
 - Base case: +28% in transportation GHG, 2010-2050
 - Low scenario: -17% in transportation GHG, 2010-2050
 - Mid scenario: -35% in transportation GHG, 2010-2050
 - High scenario: -65% in transportation GHG, 2010-2050
- High scenario: rapid tech progress, aggressive emission standards, 80 mpg for cars, transition to electric and hydrogen vehicles well underway by 2050, auto feebates, carbon pricing, eco-driving, land use policies, congestion pricing, PATP auto insurance, automated highways in 2050 on major routes, etc.
- GHG reductions are roughly equal from (a) vehicle efficiency; (b) low-carbon fuel; and (c) all other strategies combined.

-- "Reducing GHG from U.S. Transportation," by David Greene and Steve Plotkin, for the Pew Center on Global Climate Change, January 2011

How much can transportation strategies reduce GHG? Five Case Examples

- Washington State DOT
- Atlanta Regional Commission
- Washington D.C. Council of Governments
- Metropolitan Transportation Commission,
 San Francisco Bay Area, CA
- California SB375 land use-VMT-GHG law

Washington State DOT Case Study

"WSDOT's analysis suggests that implementing combinations of aggressive transportation emission reduction strategies can achieve roughly a ten percent reduction in total statewide GHG emissions compared to the 2050 baseline. Implementing many of these strategies would require changes in policy, funding, and authority, and also assumes ambitious improvements in vehicles and fuels. WSDOT did not assess the political or financial feasibility of implementing the strategies." (highlighting added)

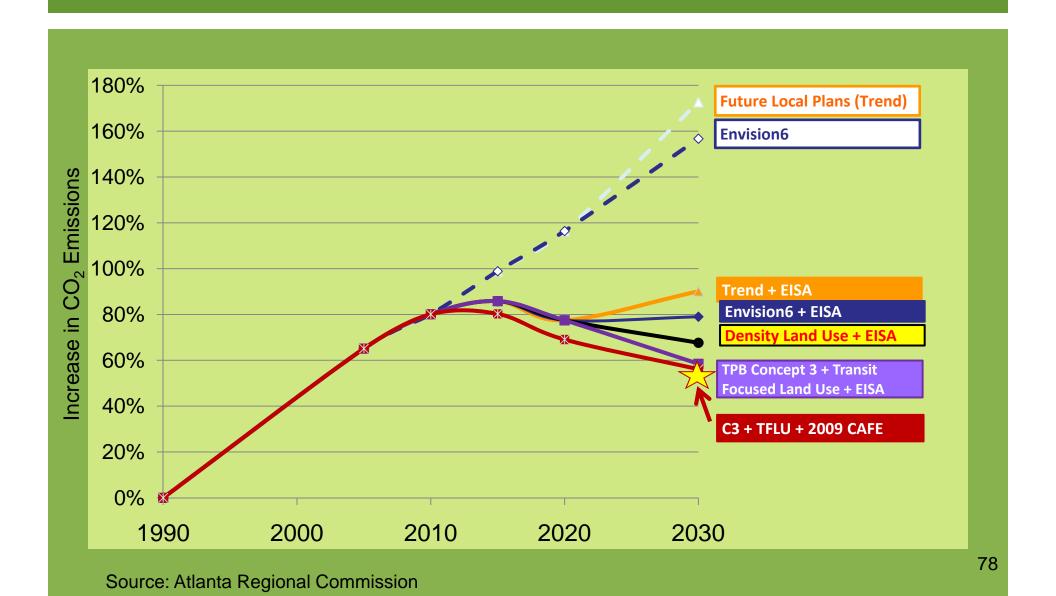
Source: 2011 WSDOT Sustainability report

Note: 10% reduction in 2050 is for GHG from all sectors, but it is not a 10% reduction below current levels. For the on-road sector, it corresponds to about 7-31% reduction in 2050 compared to 2010 on-road GHG, using "aggressive strategies."

MPO Scenarios Tested

- MPOs tested impacts of:
 - Land use changes
 - CAFE standards
 - Extensive transit investments
 - Operational strategies
 - Pricing measures (Wash, DC and SF)
 - Federal Policies (Wash, DC)
- Combinations of the above

Atlanta GHG Scenario Testing

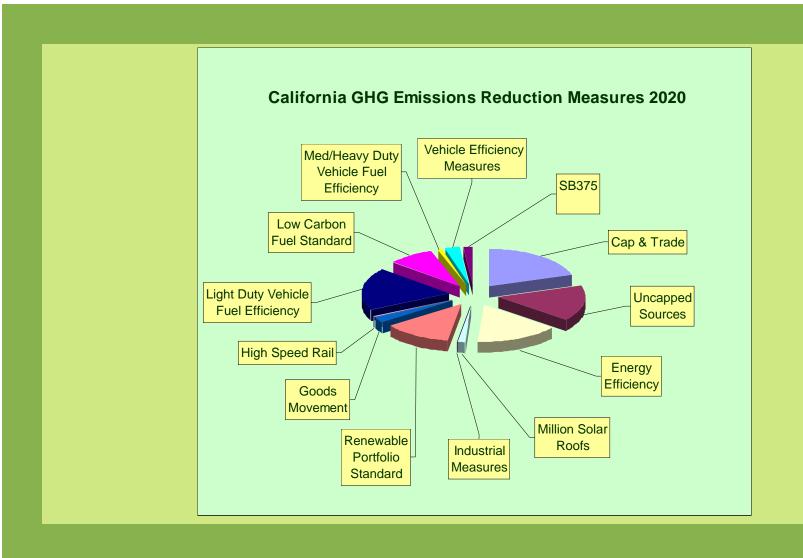


Washington, D.C.- 2010 - 2030

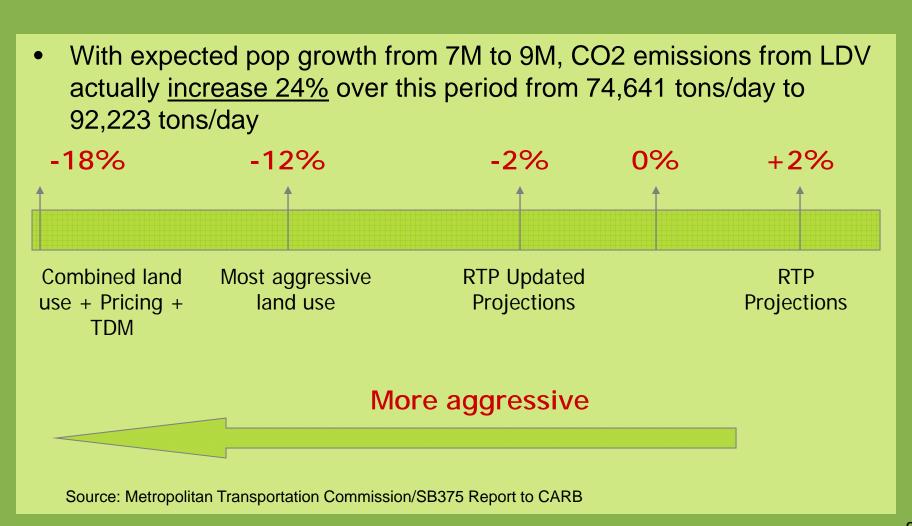
•	Reduction Goal	33.5%	•	Short term reduction	ns (3.9%)
	- CAFE	17.3%		 Increase transit 	.3%
	Alt.Fuel	2.1%		Pricing	1.5%
	- TERMS*	.6%		Operational	
	Short term	3.9%		Efficiencies	1.8%
	Long-term	.85%		 Reduced travel 	3%
•	Shortfall	8.75%	•	Long-term reduction	ıs (.85%)
				 Increase transit 	.15%
*TERMS : Access and service improvements to transit, bike/ped projects, rideshare assistance programs,				 Increase bike/ped 	.3%
				Pricing	.25%
	telecommute programs, traffic improvements, engine technology programs			Reduced travel	.15%

Source: Washington, D.C. COG

California Climate Change Act –AB32

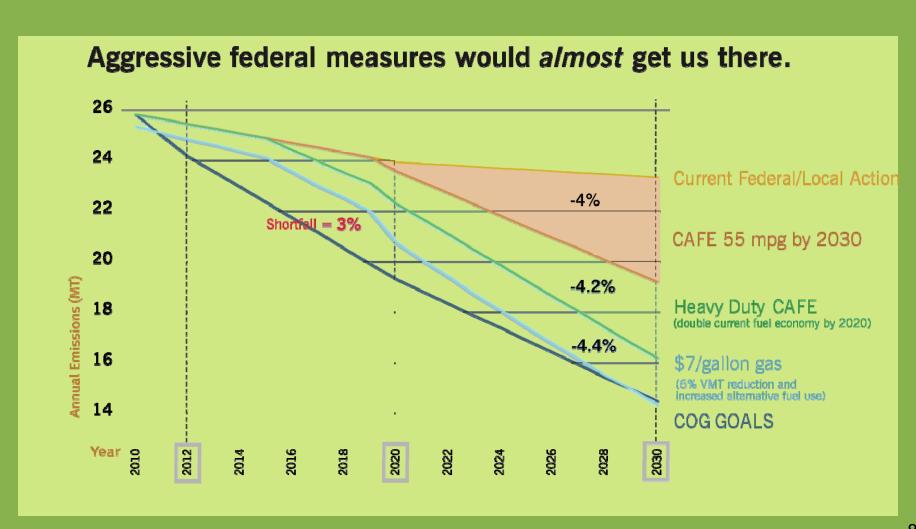


San Francisco MTC - Scenario Assessment (% GHG per capita - 2005 vs 2035)



Higher Federal Role is Needed

(WASHCOG Analysis)



MPO Case Study Findings

- MPOs have small influence over reductions: combinations of land use, transit, TDM can help but fall short of goals in all cases
- Per capita reductions of GHG of 15% or more will likely require road pricing
- Net increases in GHG from transportation are likely without technology and fuel measures
- Higher Federal role in GHG reductions could result in substantial reductions
 - 55 mpg by 2030 LDV CAFE
 - HDV CAFE (double heavy duty fuel economy)
 - \$7/gallon gas

Vehicle/Fuel Improvements Will be the Dominant Source of GHG Reductions for LDVs

- 50% cut in GHG/mile is feasible from conventional technologies and biofuels by 2020-2030
- Compare these GHG rates in U.S. and Europe:

380 grams/mile 2009 in the U.S.

250 grams/mile 2016 under new Obama standard

256 grams/mile 2007 actual in the E.U.

209 grams/mile 2012 under E.U. regulation

153 grams/mile 2020 under E.U. regulation

- LDV purchase cost will rise, but fuel savings will be greater than vehicle cost increase
- Win-win-win: reduces energy use, reduces GHG, saves money

Vehicle "decarbonization" is critical

"In the long term, carbon free road transport fuel is the only way to achieve an 80-90% reduction in emissions, essentially "decarbonization."

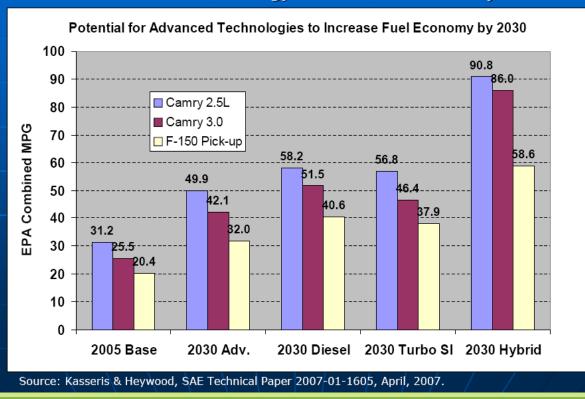
--The King Review for the U.K. Government, by Professor Julia King, Vice-Chancellor of Aston University and former Director of Advanced Engineering at Rolls-Royce plc, March 2008

"[I]n the period beyond 2100, total GHG emissions will have to be just 20% of current levels. It is impossible to imagine this without decarbonization of the transport sector."

-- Sir Nicholas Stern, Stern Review to the U.K. Government, 2007

Vehicles: Potential Fuel Economy Increase by 2030

A 2007 MIT study predicts MPG gains of 80-85% for model year 2030 vehicles via continuous improvement of conventional technology at a rate of 2-2.5%/year.

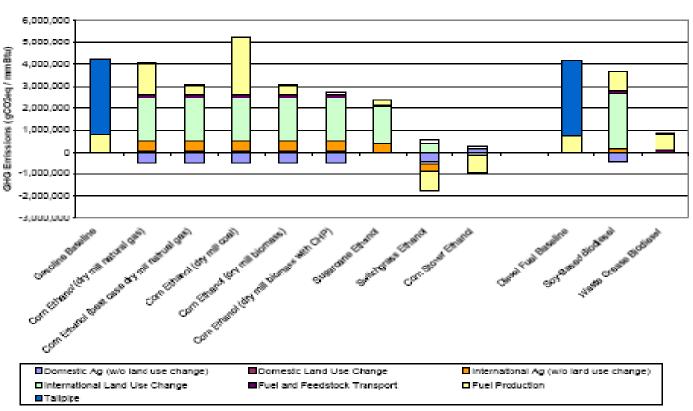


Fuels: Low-Carbon Fuels

- Many different low-carbon fuel possibilities:
 - Corn ethanolSugar cane ethanolBiodiesel
 - Cellulosic biofuel Algae biofuels Hydrogen
 - Electricity from renewable energy or nuclear power
 - Electricity from utilities with carbon capture & storage
- Carbon intensity measured as GHG/unit of energy must account for "life-cycle" emissions
- California LCFS:
 - Adopted in 2008
 - Aims to reduce carbon intensity of passenger vehicle fuels by 10% by 2020
 - Measures carbon-intensity on a life-cycle basis "from field to wheel."

Fuels: Lifecycle GHG

Figure 1. Net Lifecycle Greenhouse Gas Emissions By Lifecycle Component With 100 Year Time Horizon And 2% Discount Rate.



Lifecycle Emissions

Components of lifecycle emissions:

Assumptions are made about the amount of emissions from these elements of the lifecycle.

- Domestic agriculture
- International land use change
- Tailpipe
- Domestic land use change
- Fuel and feedstock transport
- International agriculture
- Fuel production

National Renewable Fuel Standard (RFS)

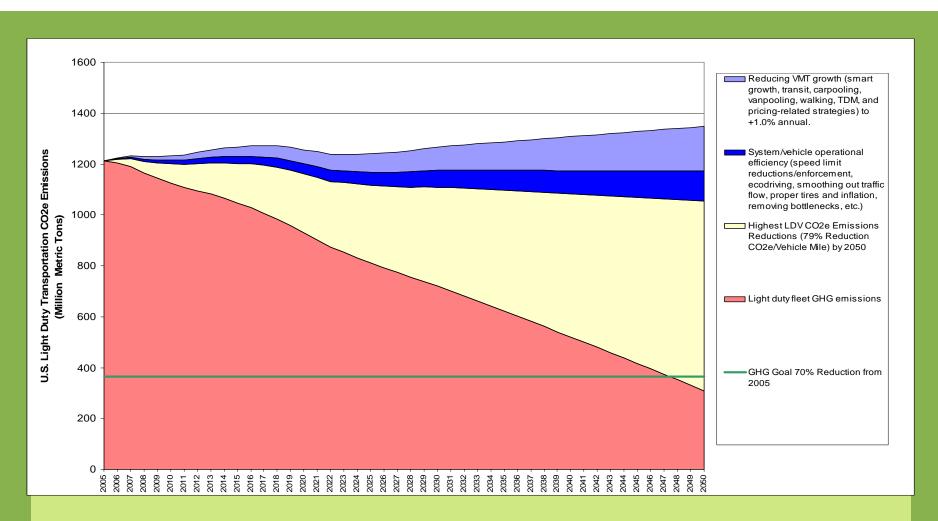
- EISA 2007 establishes fuel categories and eligibility requirements
- Determine if renewable fuels meet GHG thresholds set for four types of renewable fuels (E.g., corn ethanol, soy-based biodiesel, switchgrass ethanol, waste grease biodiesel)
- Emissions compared to 2005 for gasoline and diesel (depending on which is being replaced)
- Lifecycle Emissions analysis-
 - Aggregate quantity of GHGs related to full fuel cycle including all stages of production and distribution

Vehicles &Fuels: Possible State DOT Roles in Decarbonization

- 1. Influence state policies on low-carbon fuels/vehicles
- 2. Use planning scenarios to emphasize need for decarbonization
- 3. Plan/provide plug-in infrastructure for electric and PHEV vehicles (coordinate with utilities)
- **4. Support federal transportation funding** for technology/fuel R&D
- **5. Educate** the public and elected officials
- 6. Provide incentives for consumers to use lower carbon fuels/vehicles (lower fees for low-carbon vehicles/fuels)
- 7. Support low -carbon fleet conversion for state vehicle fleets
- 8. Adjust facilities and operations to accommodate decarbonized vehicles and fuels

More than Vehicles and Fuels:

Achieving 74% LDV GHG Reduction by 2050 requires 100 mpgge LDV Fleet + 10% Operational Efficiency + Lower VMT Growth (1%/year)



VMT: Cautionary Note

VMT is not a good metric for GHG reductions, as VMT does not take into account:

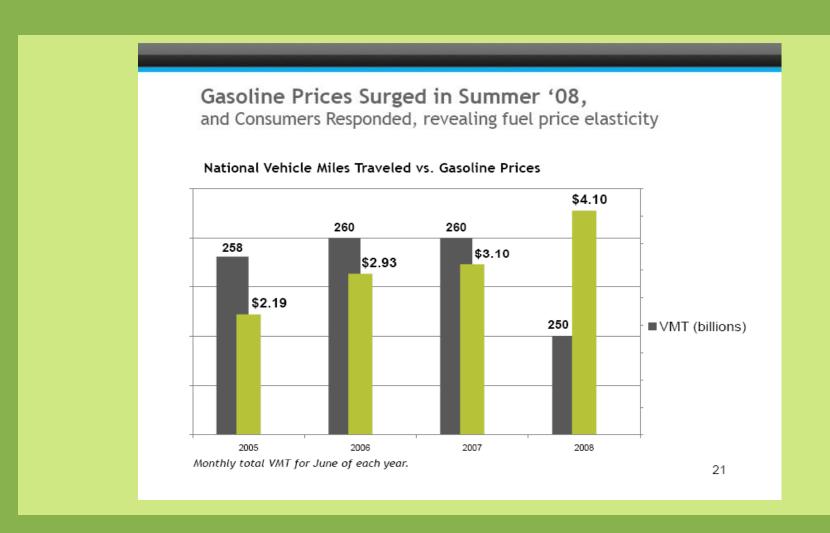
- Type of fuel
- •Fuel efficiency of vehicle
- Passenger vs freight trip
- Number of passengers per vehicle
- •As passenger fuel economy increases, effectiveness of VMT reductions diminishes
- •TCM lessons from 1990s marginal emission reductions, increasing costs as technology improves

Reducing VMT is part of the strategy set – but just one element, not the end goal

VMT: Many Strategies to Reduce VMT Growth

- Pricing economy-wide (carbon tax or carbon cap and trade, which would raise fuel prices)
- Pricing transportation (PAYD insurance, parking pricing, tolls, higher user fees, cordon pricing, congestion pricing, etc.)
- Carpooling and vanpooling
- Bike/ped and transit
- Trip chaining
- Tele-working, tele-shopping, tele-education, telemedicine
- Compact land use

VMT: Consumers respond to pricing



VMT: Carpooling and Vanpooling Potential

- Receives limited support and has been declining
- Yet is more important than is recognized (provides 300-400% of the PMT for work trips nationally as transit)
- Low cost for government, wide availability, saves users money
- Effective in all kinds of areas rural, small urban areas, suburban, urban
- Nearer-term payoff than most transportation strategies
- Atlanta MPO and WASHCOG pay for commuters to carpool (\$3/day Atlanta, \$2/day WASHCOG)

VMT: Transit's Potential

- It is hard to generalize about transit
- Transit VMT/GHG benefits are realized with highly patronized, high-occupancy services -- a market limited to high volume, generally densely developed corridors
- Commuter rail is 38% less carbon intensive than average auto – but bus transit is more carbon intensive than average auto use (national averages; some corridors better, some worse)
- Two APTA studies: (a) Transit reduced GHG by 6.9 MMT* in 2005; or (b) by 37 MMT in 2005 (this is 0.3% to 1.7% of U.S. transportation GHG)

VMT: Carbon Intensity of Different Modes

	Occupancy	Lbs of GHG/PMT*
Auto, SOV	1.00	0.99
SUV, average	1.72	0.71
Transit Bus, average	8.80	0.71
Auto, Average	1.57	0.58
Carpools, average	2.10	0.47
Amtrak	20.50	0.39
Rail Transit, average	22.50	0.39
Motorcycles, average	1.20	0.37
Commuter Rail, average	e 31.30	0.36
Vanpools, average	6.10	0.21
Walking and Biking	1.00	0.00
* PMT = Passenger Mile Trave	elled – national averag	ges, DOE data

VMT: Land Use Effect on GHG is Helpful but Modest

<1 to 11% *	GHG reduction in 2050 on-road household GHG, for range of 3 scenarios (2009 TRB report, "Driving and the Built Environment")
<1 to 4.4%	GHG reduction in 2050 on-road GHG, for up to 90% of new development compact with high quality transit (2009 "Moving Cooler" report)
3.5 to 5%	GHG reduction for 2007-2050, cumulative, as % of transportation GHG, for compact land use with very aggressive assumptions (2007 "Growing Cooler" report)

^{*} TRB Panel was not in agreement on 11%. Highest reduction supported by the full TRB panel was 1.3-1.7%, in 2050

Compact Land Use + Transit + Bike + Ped + Intercity Passenger Rail + High Speed Rail + Car-Sharing + Urban Nonmotorized Zones + Urban Parking Restraints

Bundling these 9 strategies at the "Aggressive" level leads to these changes in on-road GHG:

~2.7% GHG reduction cumulatively, 2005-2050

And at the "Maximum" level:

~4.4% GHG reduction cumulatively, 2005-2050

What are the assumptions behind these reductions?

"Maximum" level = \$1.2 trillion transit expansion + \$220 billion in HSR and conventional intercity rail expansion + 50% cut in all transit fares + 90% of all new development is compact starting in 2005 + "complete streets" policies + bike lanes at ¼ mile intervals + 6% of CBD areas are nonmotorized by 2015 + urban parking freeze in 2015

Source: Estimates based on data in Moving Cooler, 2009

Operations: Many Strategies, with 10-20% GHG Reduction Potential

Potential for 10-20% LDV GHG reduction by improving traffic flow and individual driving behavior:

- Managing speed (35-55 MPH is optimal)
- Speed limits/enforcement (could reduce fuel use 2-4%)
- Eco-driving
- "Active" traffic management to smooth traffic flow
- Improving signal timing (could reduce 1.315 MMT CO₂/yr)
- Roundabouts (multiple benefits)
- Reducing car and truck idling
- Work zone management to smooth flow
- Incident management
- Eliminating bottlenecks

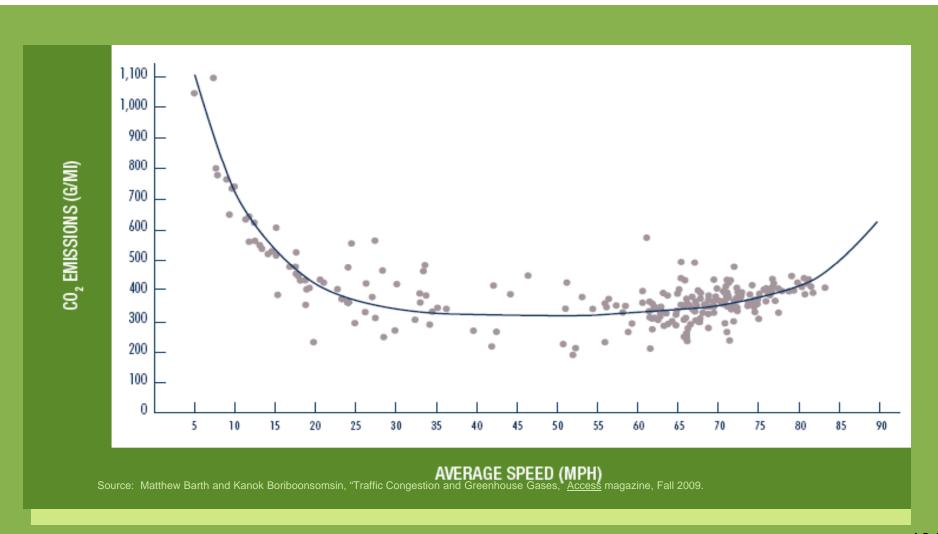
Operations: EcoDriving

- EcoDrivers can reduce fuel and CO2 by 10-15% through smart driving and vehicle maintenance.
- 10 years of Dutch experience found 10% GHG reduction and extremely cost effective (\$6-9.50/ton reduced)
- Pilot in Denver with 300 drivers achieved 10% fuel reduction and similar GHG reduction
- Useful for HDV, MDV, and LDV drivers
- Major push in Europe as GHG strategy
- Aided by dashboard displays of real-time MPG

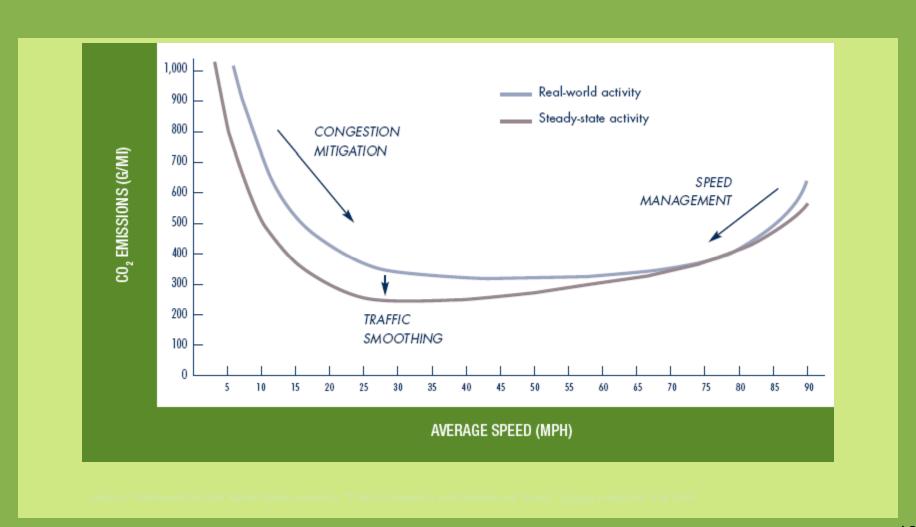
Operations: EcoDriving

- EcoDrivingUSA[™] -- nationwide effort to increase overall vehicle fuel economy and preserve the environment
- Partnership of Governors, auto industry, environmental groups
- Website:
 - Be an EcoDriver
 - EcoCalculator
 - EcoDriving Quiz
 - Virtual Road Test
 - <u>Is Your Community EcoDriving?</u>
 - Educational Tools
 - News and Events
 - Join the EcoDriving Movement
 - Link this website on your blog or site
- For more information contact: Seena Faqiri at 202.326.5518 or sfaqiri@autoalliance.org.

Operations: Effect of Speed on GHG



Operations: Traffic Operation Strategies To Reduce CO₂



Operations: Portland, OR Signal Timing

- Began 2002, 10-year project
- Climate Trust funded project and pays for CO2 offsets from project
- Improve signal timing on 17 major arterials
 - Optimize traffic flow
 - Reduce idling, acceleration, C02 emissions and emissions from criteria pollutants
- Model for traffic signal offset projects

http://www.climatetrust.org/traffic_signals.html

Construction/Maintenance/Agency Operations: Strategies to Reduce GHG, Energy Use, and Costs

LED traffic lights*

LED roadside lighting

Low carbon pavement

More durable pavements

LEED buildings

Reduced roadside mowing

Vegetation management on ROW

Solar panels/wind on ROW

Alt fuels and hybrid vehicles in DOT fleets**

Alt fuel buses

(Source: Climate of Opportunity: A Climate Action Plan for the District of Columbia, Draft Sept 2010)

^{*}In the District of Columbia there are 78,500 street lights and 4,000 traffic signals. These produce 7% of the DC Govt. CO2 emissions

^{**} The District of Columbia fleet includes 6,000 vehicles that produce 7% of the DC Govt CO2 emissions.

Solar Panels for Highway Lighting – Oregon DOT

- 594 solar panels produce 122,000 KWH/year to light interchange
- Avoids nearly 43 metric tons of GHG/year from normal electricity
- \$1.28 M project in operation for over a year
- PPP of OR DOT, PGE, and US Bank, using state and federal tax credits
- Could be a model for other DOTs
- ORDOT planning 2 additional projects
- www.oregonsolarhighway.com

Washington State: West Coast Green (Electric) Highway

- WSDOT is using a PPP to provide "Quick Charge" stations for electric vehicles along I-5 corridor
- \$1.32 million seed funding from US DOT grant
- Target completion of EV stations: 10/31/11
- 9 stations along I-5 and SR-2, from OR border to Canadian border
- Coordination with Oregon DOT and, eventually, California
- Pooled fund study opportunity: Strategies and Best Practices to Support Commercialization of EV and Infrastructure

 www.westcoastgreenhighway.com

FHWA- Carbon Sequestration Pilot Program

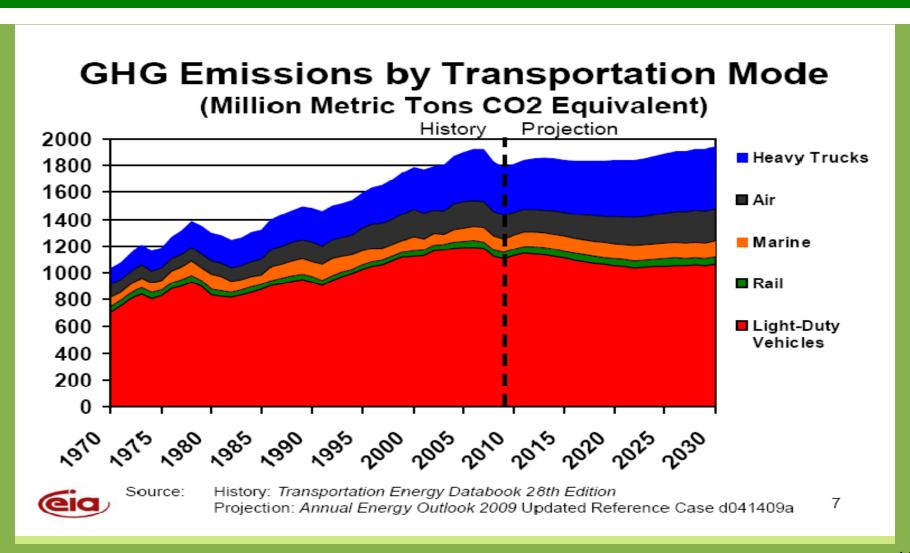
- Pilot established in 2008
- Assess how much carbon can be sequestered by native vegetation in the NHS right-of-way
- Determine feasibility of carbon credit sales by state DOTs and estimates of amount of revenue potential for state DOTs
- Final report available
 - Estimate of NHS ROW in each state
 - Highway carbon sequestration estimator
- http://www.fhwa.dot.gov/hep/climate/carbon_sequestration/index.htm

Alternative Energy Resources for State DOTs

- Missouri DOT report, January 2011
- Surveyed other state DOTs
- Evaluated cost-effectiveness of various strategies
- MoDOT recommended pursuing alt energy sources:
 - Wastewater treatment ("baffled bio-reactors")
 - LED roadway lighting (in addition to LED traffic signals)
 - Renewable solar/wind installations
 - HVAC efficiency measures
 - Interior building lighting (including fluorescents, reflectors, and LED lamps
 - High performance window systems
 - Energy management system
 - Re-commissioning and continuous commissioning of buildings

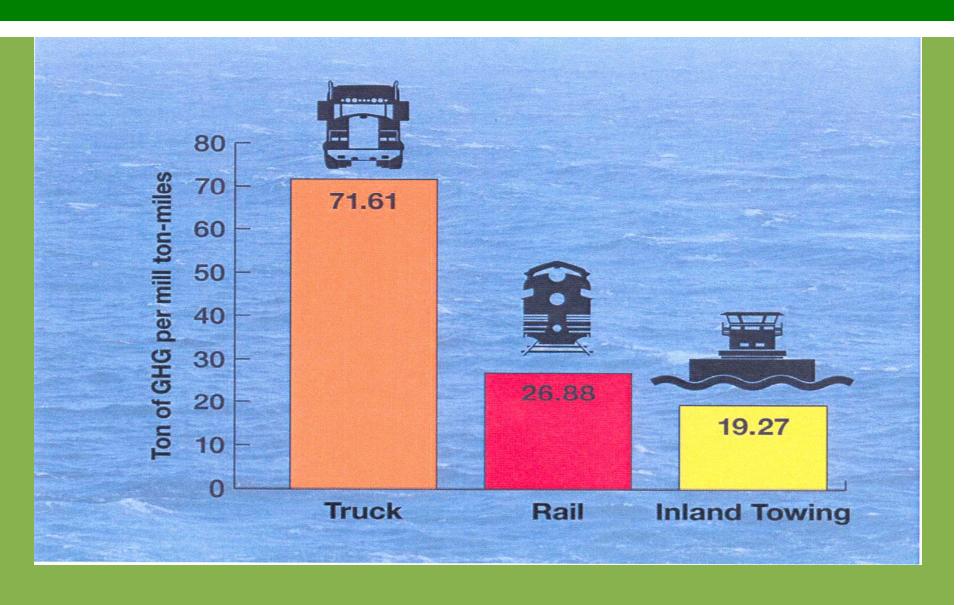
Source: Alternative Energy Resources for the Missouri Department of Transportation, 2011

Freight: Truck GHG is Growing Faster than Other Transportation GHG



Freight: Modal GHG Comparisons

Source: Texas Transportation Institute and Center for Ports and Waterways



Freight GHG Strategies in State Climate Action Plans

- Anti-idling programs
- Truck stop electrification
- Speed limit enforcement
- Freight villages/consolidation centers
- Feeder barge container service
- Bottleneck reduction
- Traffic flow improvements
- Pre-clearances at scale houses
- Truck driver training
- EPA SmartWay up-grade kits & loans & diesel retrofits
- Improvements to highway grade crossings
- Efficient Intermodal Facilities
- ECOdriving

- Incentives to retire older trucks
- Freight logistics improvements
- Shifting freight from truck to rail CT shift 5% of truck traffic to rail/barge by 2020
- Hybrid power trucks
- Low-viscosity lubricants
- Single wide-base tires
- Automatic tire inflation systems
- Retrofits PM and "Black carbon" reduction technologies 85% reduction in PM

Detailed info available in NCHRP 20-24(59), Appendix C

"Best Practices Guidebook for GHG Reductions in Freight Transportation"

- NC State University report to US DOT, 2007
- Covers trucks, freight rail, marine, air freight, pipeline
- Identifies 33 "best practices" for reducing truck
 GHG (plus 26 for other freight modes)
- All 33 could reduce truck GHG in 2025 by 12% below 2003 (compared to 67% increase in truck GHG if best practices are not implemented)

Freight: GHG, Diesel and Black Carbon

- Black carbon is a major contributor to climate change, diesel engines a primary source of BC
- Black carbon particles absorb sunlight, generate heat in the atmosphere, warms the air
- Every gallon of diesel emits 22 pounds of CO2
- CO2 has long atmospheric lifetime; black carbon remains in atmosphere only a few weeks
 - Reducing black carbon provides immediate reduction in the rate of warming along with public health benefits

Freight: Diesel Retrofits Reduce PM and Black Carbon

- Diesel truck retrofits (diesel particulate filters) reduce PM 99% (= 2007 EPA standards) and also reduce black carbon
 - Diesel oxidation catalysts (DOCs) DO NOT REDUCE CARBON
 - DOCs capture 25% of larger particulates & no harmful ultrafine PM (Feel good measure - especially on school buses)
- Locomotive retrofits reduce PM and black carbon; achieve over 76% PM and 25% fuel efficiency
- A most cost-effective way to reduce emissions and save energy immediately - Good CMAQ candidates
- State DOTs can be leaders in retrofitting -
- Retrofits of construction equipment, state fleets and locomotives very promising ways for state DOTs to reduce emissions to meet air quality requirements
- Costs de minimis as a % of project costs; benefits far outweigh costs

Comparison of Retrofit Technologies

Comparison of Diesel Retrofit Technology



No Retrofit System
Uncontrolled Diesel Exhaust



Retrofitted with

Diesel Oxidation Catalyst (DOC)

(Level 1)

Old technology Little black carbon removal Little utrafine PM removal Does not remove lube oil ash



Retrofitted with Partial Filter (Level 2)

Little black carbon removal Little utrafine PM removal Does not remove lube oil ash



Retrofitted with

Diesel Particulate Filter (DPF)

(Level 3)

New technology Used on all new trucks since 2007 >85% black carbon removal >85% ultrafine removal >85% lube oil ash removal

Overview: The exhibits above are actual PM collection samples from an engine testing laboratory used to collect and measure diesel particulate matter (PM) emissions. Test conditions are:

- · Test Cycle: UDDS (Urban Dynamometer Driving Schedule)
- Test Distance: 5.5 miles over 17 minutes
- Fuel Consumed During Test: 1.1 gallons
- Test Vehicle: Heavy-duty truck with a 370 hp Cummins engine (1999 model year)
- · PM material on collection samples is 1/1,800th of actual

Pricing: A Necessary and Powerful Tool

- Without price signals, reducing driving extremely difficult
- Pricing incentivizes 3 legs of the GHG stool
 - Purchase of lower-carbon vehicles and fuels; and
 - Lower VMT
 - Eco-driving behavior
- Many different pricing tools available: auto "feebates," carbon/fuel prices, PAYD insurance, mileage fees, parking pricing, congestion pricing, etc.
- Pricing produces revenue to invest in alternatives
 - "We know we need to get ready for a world in which energy will only be more expensive." -- Wal-Mart

Strategy Comparisons

Potential GHG reductions, cumulatively 2010-2050, compared to on-road baseline GHG

- 15,186 mmt carbon pricing equiv to \$2.71/gallon
- 3,361 mmt VMT fees equiv to \$2.53/gallon
- 2,428 mmt speed limit reductions
- 2,233 mmt PAYD auto insurance (100% coverage)
- 1,815 mmt eco-driving by 20% of drivers
- 1,445 mmt at least 90% of new urban development is compact, with high quality transit
- 1,241 mmt congestion pricing fully implemented in 120 metro areas at 65 cents/mile

Source: "Moving Cooler," 2009

575 mmt – \$1.2 trillion transit expansion

MMT = million metric tons

Strategy Bundling

"Maximum" strategy bundle can reduce cumulative on-road GHG by 16% over 40 years, compared to on-road baseline:

- Tolls imposed in 2010 at 5 cents/mile on national Interstate system
- Congestion pricing at 65 cents/mile in 120 metro areas
- \$400 permit fee to park on neighborhood streets
- \$1.2 trillion transit expansion
- Bike lanes every 1/4 mile
- New and increased parking fees
- 90% of new urban development is compact, in dense Census tracts, with high quality transit
- Heavier and longer trucks allowed (up to 139,000 lbs)
- Eight more freight strategies
- Eco-driving by 20% of drivers
- Speed limit reductions
- Top 200 bottlenecks improved to LOS

Source: "Moving Cooler," 2009

European View of Transport GHG Strategies (European Council of Ministers of Transport, 2006)

- "The most effective measures available include <u>fuel taxes, vehicle</u> <u>and component standards, differentiated vehicle taxation, support</u> <u>for eco-driving and incentives for more efficient logistic organization, including point of use pricing for roads</u>."</u>
- "More integrated transport and spatial planning policies might contain demand for motorized transport."
- Mode shifts ... cannot ... form the corner-stone of effective CO2
 abatement policy and the prominence given to modal shift policies is
 at odds with indications that most modal shift policies achieve much
 lower abatement levels than measures focusing on fuel efficiency."
- "Ultimately higher cost energy sources will be required if there are to be further cuts in transport sector CO2 emissions."

Summary

Many strategies are needed to reduce transport GHG. No silver bullet. Will need full mix of strategies including:

- Maximize energy efficiency of current vehicle technology
- Decarbonize vehicles and fuels world-wide
- Adopt pricing measures to reward conservation and tech innovation
- Push "eco driving" and system/speed management
- Adopt more efficient land use
- Support carpools & vanpools, biking, walking, transit use, trip chaining, telecommuting
- Adopt low carbon, energy-conserving strategies in construction, maintenance, and agency operations
- Retrofit legacy fleets to reduce PM and black carbon
- Implement wide-ranging freight technology and logistics improvements

IV. Federal Direction --Legislation, Planning, NEPA



Federal Climate/Energy Legislation

- Federal cap-and-trade legislation: unlikely for foreseeable future (but state/regional cap-and-trade programs are proceeding – Northeast and California)
- EPA authority on GHG: Congress may limit it or roll it back
- Federal energy legislation: could take many different forms
 (incentives for efficient vehicles, R&D, "clean energy" support, etc.)
- Political climate: volatile uncertain outcomes
- GHG planning requirements: could be put in transportation authorization legislation – or energy bills

Cap-and-Trade – How it Works

- Set a "cap" on total GHG emissions, and reduce it over time
 - 17 to 20% reduction by 2020
 - 83% reduction by 2050
- Issue "allowances" to emit GHGs within the cap
 - Some allowances are auctioned; others distributed free
- "Allowances" are an economic asset that can be traded
 - Receiving a free allowance is like receiving dollars
- "Offsets" can be purchased in lieu of allowances
 - An offset is obtained by paying for a reduction made by sources outside the cap, including sources in other countries
 - Example: pay to avoid deforestation in a developing country

Source: Bill Malley, Perkins Coie

Federal Legislation: Proposed Transportation Planning Provisions

Several bills contain these provisions – which could be added to authorization legislation or energy bills:

- TARGETS AND STRATEGIES: States and TMA MPOs must develop GHG reduction targets and strategies, as part of transportation plans
- PROGRESS: States and TMA MPOs must "demonstrate progress in stabilizing and reducing" GHG emissions
- METHODOLOGIES: EPA must issue regulations on transportation GHG goals, standardized models, methodologies, and data collection
- CERTIFICATION: US DOT shall not certify state or MPO plans that fail to "develop, submit or publish emission reduction targets and strategies"
- PERFORMANCE REQUIREMENTS: US DOT must establish requirements, including performance measures, "to ensure that transportation plans... sufficiently meet the requirements.., including achieving progress towards national transportation-related GHG emissions reduction goals."

How should climate change be considered in NEPA?

- Under NEPA's broad scope, some EISs/EAs are already considering climate change
- Litigation history is building
- CEQ issued draft guidance on February 18, 2010
- Comments were due: May 24, 2010
- Proposal:
 - Evaluate proposed actions that are reasonably expected to cause <u>direct</u> emissions of 25,000 metric tons or more of CO2equivalent <u>on an annual basis</u>, <u>and</u>,
 - 2. Consider <u>impact of climate change on the project</u> (e.g., effect of rising sea level on coastal bridges)
- AASHTO and FHWA provided extensive comments

AASHTO Comments on CEQ Draft

- Planning process is the appropriate venue for developing and implementing GHG reduction strategies
 not project level
- Project-level analysis not meaningful
 - Inadequate tools
 - Disconnect between global emissions vs projectlevel analysis
 - Basis for 25,000 metric ton threshold?
- Major emphasis on adaptation needed in transportation policy

GHG and NEPA: Bottom Line

It all depends...

- What emissions sources are included in total?
- How are direct and indirect emissions defined?
- Life cycle emissions?
- What analysis year (or years) are used?
- Speed assumptions?
- Fleet MPG assumptions?
- New VMT vs. VMT shifted from elsewhere?
- Many questions...

Recent History –Court Rulings on NEPA/GHG

3 cases overturned FONSI/EA/EIS for lack of climate analysis:

- Center for Biological Diversity et al. v. NHTSA
- Mid States Coalition for Progress v. Surface Transportation Board
- Border Power Plan Working Group v. DOE
- 4 cases <u>upheld</u> lack of climate analysis or sufficiency of analysis:
 - Audubon v. DOT, 2007
 - Friends of the Earth v. Mosbacher, 2007
 - Association of Public Agency Customers, Inc. v. Bonneville Power Admin, 1997
 - Mayo Foundation v. Surface Transportation Board, 2006

AASHTO Position on Climate Change/Energy

- Accelerate energy technology innovation to increase energy efficiency and decrease the carbon intensity of the energy supply
- Increase vehicle fuel economy and advance biofuels by:
 - Support federal R&D to de-carbonize vehicles/fuels
 - Continue to strengthen fuel economy standards
 - Promoting and providing funding for clean vehicle and fuel programs
- Reduce VMT growth to 1% per year
- Double transit ridership by 2030
- Increase intercity passenger rail

See AASHTO "Real Transportation Solutions" at

http://www.climatechange.transportation.org/

FHWA Climate Change Activities

Current activities:

- Research (Gulf Coast study, VMT, GHG mitigation strategies, GHG estimation tools, adaptation pilots)
- Education (webinars, workshops, Clearinghouse, Q&As, peer-to-peer exchanges)
- Outreach/collaboration (NOAA, USGS, CEQ, EPA, DOE, FTA, HUD, Pew Center on Climate Change, AASHTO, AMPO, etc.)
- Technical assistance (GHG modeling, adaptation, NEPA documentation)
- Input for CEQ guidance on NEPA/climate change
- Linkages with sustainability, CSS, planning

Future activities:

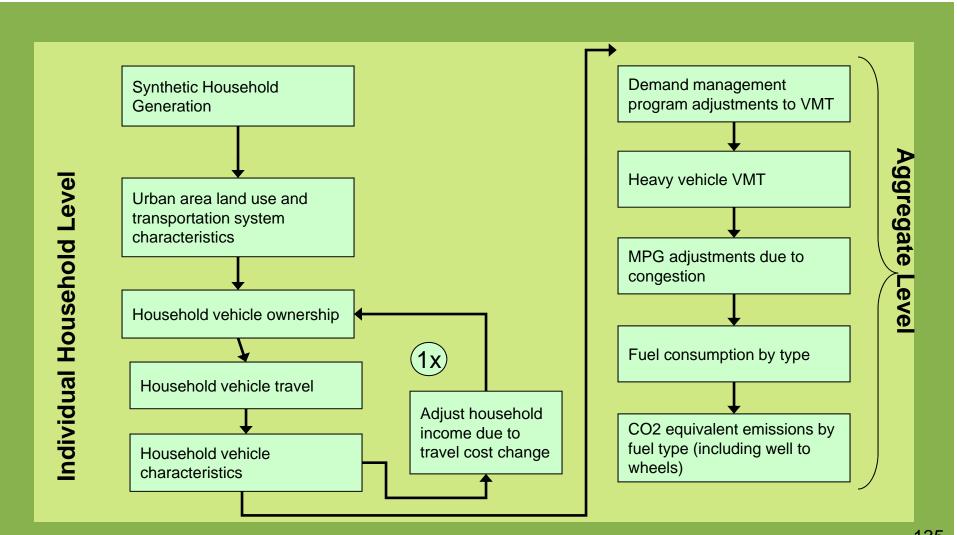
- Reauthorization proposal re climate change
- Guidance for considering adaptation in NEPA documents
- Working with EPA and others on data/modeling issues

FHWA Developing Tools for GHG Estimation

- Forecasting tools needed to estimate GHG impacts of strategies
- Most statewide and regional travel models not sensitive to GHG/TSM/TDM strategies
- Travel models need to link with GHG emission models
- FHWA is sponsoring carbon calculator and mitigation strategies guidebook
 - Enhancing GreenSTEP model for this project
 - Developing users manual

GreenSTEP Model

(developed by Oregon DOT, enhanced by FHWA)



GreenSTEP

Inputs Outputs

- Demographic changes
- Urban/rural development share
- Metropolitan/other densities
- Urban form
- Transit service
- Highway capacity
- Vehicle fuel efficiency and ages
- Electric vehicles
- Pricing (fuel, carbon, VMT)
- Demand management
- Congestion effects on MPG
- Carbon content of fuels
- CO2 production from electrical power use for transportation



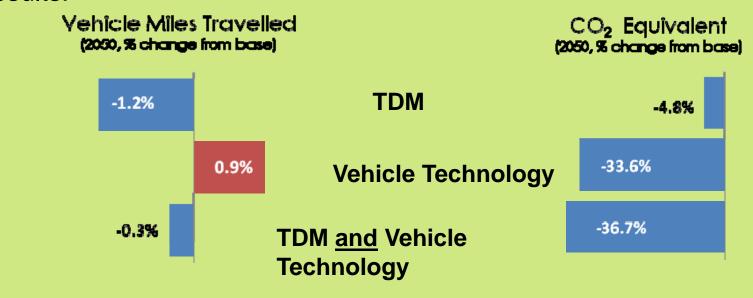
- VMT
- Fuel use
- Electricity use
- CO2 equivalent emissions

Example: Using GreenSTEP to Analyze GHG Policy Options

Used GreenSTEP to analyze:

- Travel Demand Management
- Vehicle Technology: increased vehicle MPG and more EVs
- TDM <u>and</u> Vehicle Technology

Results:



Guidebook: Mitigation Strategies

System Efficiency

- Resurfacing Highways
- Speed Reductions
- Improved Construction Materials
- Signal Optimization
- Roundabouts
- Incident Management
- •Road Capacity Expansion
- Bottleneck relief/routing
- Roundabouts

Vehicle Efficiency

- Anti-idling Through Regulation
- Truck Stop Electrification
- Anti-idling Education And Campaigns
- Eco-driving, Including Maintenance And Dynamic Eco-driving
- Emission Standards
- Emissions Capping And Trading
- Encouragement Of Uptake Of Small, Low-speed Vehicles
- Feebates, Gas Guzzler Taxes And Annual Registration Fees
- Fuel Efficiency Standards
- Inspection And Maintenance (I/M) Programs, Including Roadside Emissions Monitoring
- Scrappage Programs
- •Tax Credit For Cleaner Vehicles
- Transit Emission Reduction Programs
 Via Cleaner Fuels
- Truck Vehicle Retrofits
- Passenger Vehicle Retrofit
- Low-carbon Fuel Standard

Travel Demand Management

- Car Sharing
- Fuel Tax Increases
- HOV Priority
- Land Use Management, Including Carfree Planning And Non-motorized Transport
- Parking Management And Parking Pricing
- •Pay-as-you-drive Vehicle Insurance
- Ridesharing
- Road Pricing, Including Distance-based, Distance-based Emissions Fees, Cordoning
- •TDM Education And Outreach
- Telework
- Transit Improvements
- Transit Incentives

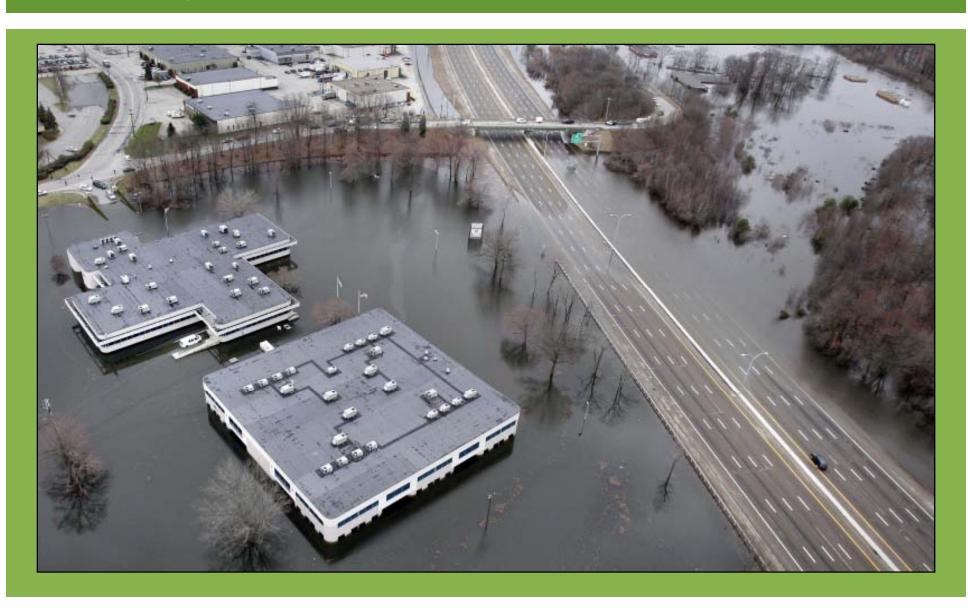
Summary

- Federal legislation is highly uncertain
- Energy legislation is more likely than cap-and-trade
- GHG planning requirements may be legislated
- In absence of federal requirements, states may require GHG targets and strategies
- CEQ guidance on NEPA may be issued -- meanwhile, don't ignore climate change in NEPA documents
- FHWA is focusing on research, tech assistance, outreach, tools, interagency collaboration

VI. Climate Adaptation for Transportation



Why Does Adaptation Matter?



Why Does Adaptation Matter?



Why Transportation Agencies Should Plan for Adaptation

- Sea level rise & storm surges
 - Destruction of bridges
 - Erosion & permanent inundation of roads
 - Disruption of evacuation routes & road network
 - Bridge clearance limitations
- Other types of impacts
 - Increased flooding
 - Pavement rutting and rail buckling
 - Landslides
 - Increased maintenance



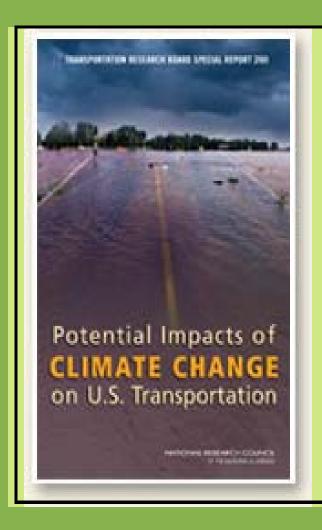
Source: http://mceer.buffalo.edu/research/Reconnaissance/Katrina8-28-05/05BiloxiBay1/09lg.jpg

Definition of Climate Adaptation

"Actions by individuals or systems to avoid, withstand, or take advantage of current and projected climate changes and impacts. Adaptation decreases a system's vulnerability, or increases its resilience to impacts."

--Pew Center on Climate Change

U.S. -- TRB Special Report 290



Potential Impact of Climate
Change
on U.S. Transportation
(TRB Special Report 290)

Transportation Research Board Division on Earth & Life Studies National Research Council

U.S. -- TRB Special Report 290

- Climate change will affect <u>every mode</u> of transportation and <u>every region in the United</u> <u>States</u>, and the challenges to infrastructure providers will be new and often unfamiliar.
- State and local governments and private infrastructure providers will need to incorporate adjustments for climate change into <u>long-term</u> <u>capital improvement plans, facility designs,</u> <u>maintenance practices, operations, and</u> <u>emergency response plans.</u>

U.S. -- TRB Special Report 290

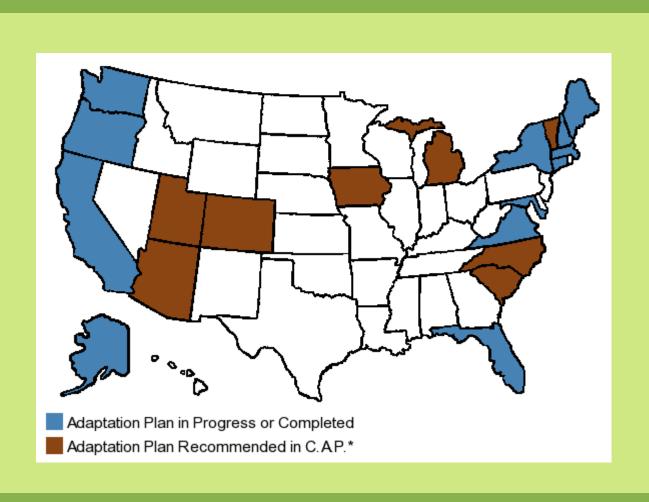
- <u>Design standards</u> will need to be re-evaluated and new standards developed as progress is made in understanding future climate conditions and the options for addressing them.
- Transportation planners will need to consider climate change and its effects on infrastructure investments.
 Planning timeframes may need to extend beyond the next 20 or 30 years.
- Institutional arrangements for transportation planning and operations will need to be changed to incorporate cross jurisdictional and regional cooperation.

U.S. -- States Focusing on Climate Adaptation

- Coastal states most concerned
- Concern growing in noncoastal states
- Multi-sector reviews of vulnerability
- Often led by resource agencies
- State DOT role -- significant to minor
- Still early on the learning curve

- District of Columbia
- Connecticut
- Massachusetts
- New York
- Pennsylvania
- Maryland
- Virginia
- North Carolina
- Florida
- Michigan
- Colorado
- Washington
- Oregon
- California
- Alaska
- Hawaii
- ... and more....

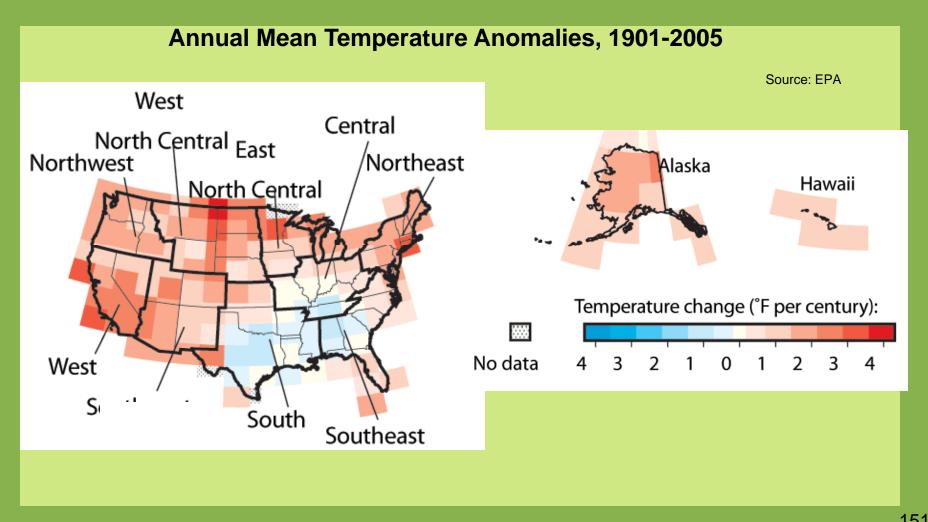
U.S. -- State Climate Adaptation Plans



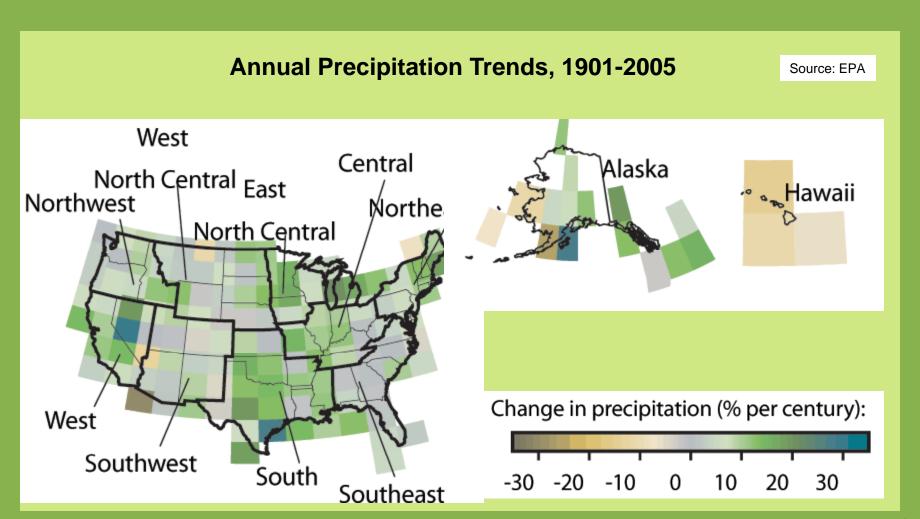
DDOT Climate Change Adaptation Plan

- Analyze inventories and recommendations from workshop
- Assess DDOT vulnerability to climate change impacts
- Analyze and recommend GHG reduction targets
- Develop Mitigation Strategies and Adaptation measures
- Develop implementation and monitoring framework

U.S. -- Recent Temperature Changes



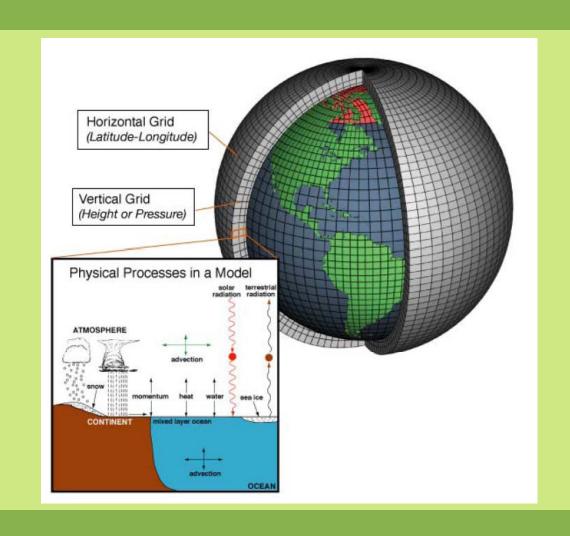
U.S. -- Recent Precipitation Changes



Global Climate Model Basics

- Global Climate Model (GCM): a set of computer codes that solve mathematical equations which emulate the Earth's climate system
- GCMs operate in time steps
 - Projections made for each hour
 - Hourly values compiled to form climate projections
- Calculations performed at grid cell scale

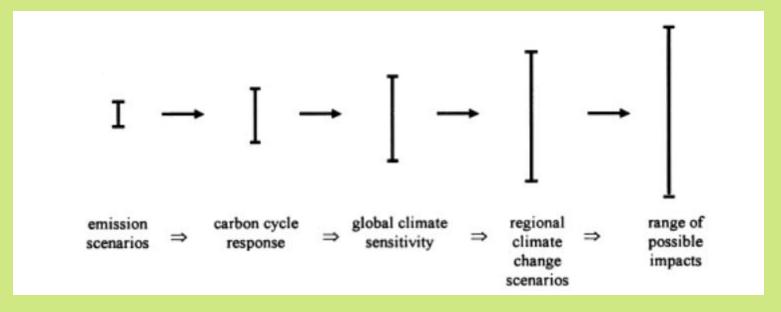
Global Climate Model Basics



Source: NOAA

Global Climate Model Uncertainty

 Uncertainty is substantial in climate modeling and builds each step of the way



Source: *Climate Change Policy*, edited by Stephen H. Schneider, Armin Rosencranz, and John O. Niles.

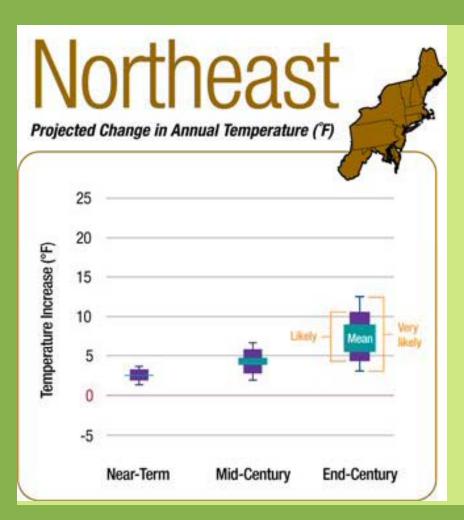
Global Climate Model Uncertainty

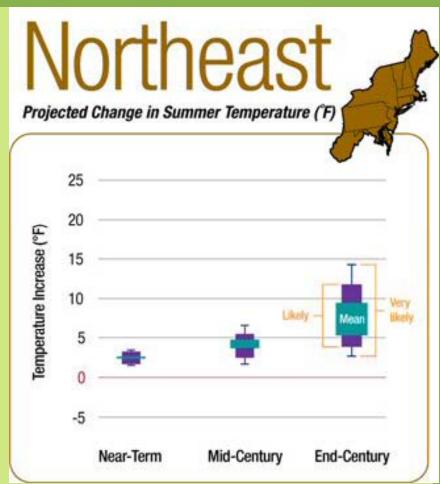
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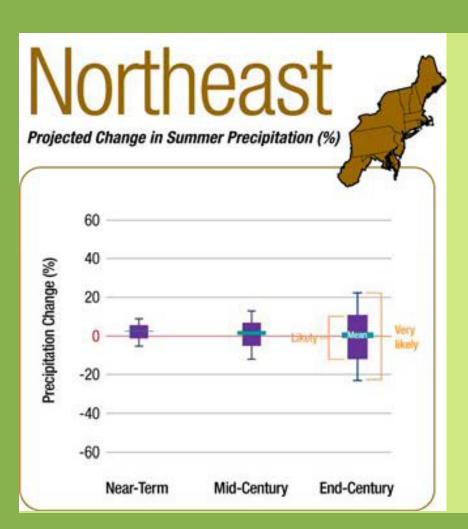
- Uncertainty in precisely how all aspects of the climate system work together
 - Result: Different models use different assumptions and produce somewhat different outputs even if given the same input data
- Some major global climate patterns have not yet been accurately modeled (e.g. El Nino)
- Uncertain climate system feedback loops
 - Methane releases from melting permafrost and arctic lake & sea bottoms?
 - Increased precipitation and snowpack in Siberia causing colder winters in the Eastern U.S. and Europe?

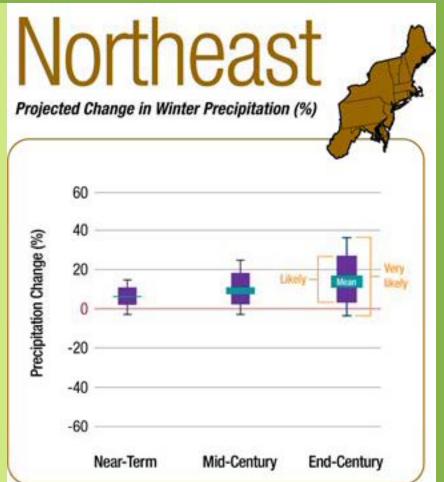
- 9 regions (6 continental US, Alaska, Hawaii, Caribbean)
- Projected changes by region:
 - Annual, Seasonal Temperature (change in °F)
 - Seasonal Precipitation (% change)
 - Where information exists:
 - Sea level rise
 - Storm activity
- Regional focus, also includes information at the international, national and State and local levels (as available)
- Available on FHWA climate change website

- Provides information on climate change projections for transportation decision makers
- Summarizes current science
 - Science is progressing, expect information to improve over next 3-5 years
- Short, medium and long term
- Based on low and high GHG emission scenarios
- Assistance from Climate experts -- NOAA, USGS, DOE







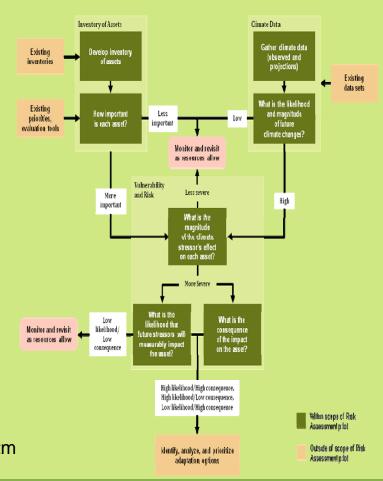


FHWA Climate Vulnerability/Risk Assessment Model

- Goal: Help transportation decision makers identify assets:
 - most exposed to the threats from climate change;
 and/or
 - could result in the most serious consequences as a result of those threats
- Draft model completed in 2010
- 5 pilots now underway to test model: WS, NJ, HI, VA, CA
- Finalize model for wider use

FHWA Climate Vulnerability/Risk Assessment Model

- Develop inventory of infrastructure assets
- 2. Gather climate data
- Assess risk and vulnerability of assets to projected climate change
- 4. Analyze, prioritize adaptation options
- 5. Monitor and revisit



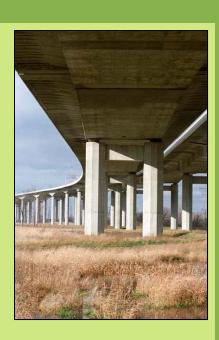
www.fhwa.dot.gov/hep/climate/conceptual_model62410.htm

Implications for Environmental Reviews

- In NEPA process, sponsor must consider project vulnerability to future climate change
- USACE may raise new issues in wetland permitting due to climate impacts
- USCG may raise climate impacts in bridge permitting
- DOI may raise issues & require more analysis for ESA, due to uncertainty of climate impacts on species

More Intense Storms: Implications for Facilities Design

- Changes in bridge height, foundation and superstructure
- Larger hydraulic openings for bridges over waterways
- Changes in suspended and cable-stay bridges to withstand more severe wind and turbulence
- Changes in materials specifications
- Changes in culvert design, capacity, and location
- Changes in slope design
- Changes in pavement drainage systems
- Heavier and lengthier armoring of river and stream banks and ditches to prevent erosion
- Greater pavement crowns to move runoff off pavement quicker



More Intense Storms: Implications for Facilities Design (cont.)

- Design additional in-system detention to meter runoff outflow
- Eliminate bridge design elements that could make a bridge scour critical
 - i.e. piers in the river, spread footings, use more sheet piling left in place
- Design terraced vegetated slopes using a variety of plant species
- Design more robust pavement markings that can be seen during wet/night conditions
- Provide larger capacity pumps/pump stations for below grade freeways to prevent flooding

More Intense Storms: Implications for Construction

Overall Strategy: protect motorists, workers, and the environment from hazards created in work zone by strong weather events

- Stronger specifications for protection of work under construction
- Stronger specifications that require contractor response plans for work zone impacted by high intensity storms



Hotter Drier Summers: Implications for Design

- Overall strategy: Design tougher, more resilient, lower maintenance roadways, bridges, facilities and roadsides
- Design lower maintenance bridge expansion
- Design seed/vegetation mixtures that create a denser, deep-rooted vegetation mat that is more erosion resistant
- Eliminate monoculture roadside vegetation designs that may not survive extended drought periods or invasive species attack
- Ensure all roadside building designs are LEED certified or modified to be energy efficient

Hotter, Dryer Summers: Implications for Construction

Strategy: Protect work in progress from effects of higher temperatures for both short term and long term durability

- Encourage night/cooler weather work to prevent damage such as slab curling, premature cracking, loss of air entrainment in concrete pavements, rutting and flushing in asphalt pavements
- Stronger specifications for Dust Control/Wind Erosion
- Worker Safety during extreme heat periods must be addressed
- More closely monitor moisture in aggregate piles
- Incorporate materials whose performance are less variable in weather extremes
- Modify vegetation planting periods to ensure optimal growth and survival

Implications for Maintenance/Operations

- Pavement rutting and rail buckling
- Longer construction season
- Closures and detours due to rock slides, soil erosion, flooding
- Speed reductions
- Flooding of culverts
- Change in weight restrictions
- More grass cutting/less snow plowing
- Work crew limitations during severe heat periods



More Intense Storms: Implications for System Operations and Maintenance

- Create detailed economic model that incorporates societal costs of delayed or inappropriate response to winter storms
- Emphasize routine maintenance such as ditch cleanout, drainage structure cleanout to avoid failure during an intense rainfall event
- Monitor and clean, as needed, bike lanes, shoulders, and non motorized trails in vertical curve sag areas.
- •Remove silt, gravel, and other debris that present hazards to bicyclists and may accumulate after plowing and heavy rainfall events



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More Intense Storms: Implications for System Operations and Maintenance

More Intense Storms - Strategy: Use best practices to keep transportation infrastructure operating as safely and efficiently as possible during increased frequency and more intense winter storms

- Increased deployment and use of Roadway Weather Information Stations to plan and respond to winter storms
- Keep motorists informed of hazardous conditions/roadway closures
- Develop strong contingency response plans for extraordinary winter storms

Source: Michigan DOT

Hotter, Drier Summers: Implications for System Operation and Maintenance

Overall Strategy: Use best practices to keep roadways and roadsides in a safe and aesthetically acceptable condition during the heat of summer

- Manage vegetation appropriately during drought periods near roadsides susceptible to wildfires
- Monitor and be ready to respond quickly to pavement "tenting" due to excessive heat periods
- Monitor health of vegetation in right of way that may be stressed due to extreme weather or invasive/new northerly migrating insect species and remove and replace as necessary

Source: Michigan DOT

Final Words – Monitor and Reassess

- Maintain strong asset management system
- Investigate performance of adapted vs. nonadapted infrastructure during extreme weather events
 - Track any estimated cost savings (or lack thereof) from the adaptation actions
- Keep appraised of the latest climate projections for your region and reassess risks if they change

Summary

- All modes of transportation threatened
- Affects all transportation functions planning, programming, environment, location, design, engineering, construction, operations, emergency planning – and budgeting
- Low lying coastal areas especially vulnerable
- Risk assessment and prioritization is key
- Transportation planners need to be aware of and adapt to climate change impacts on our transportation infrastructure
- Looming in future: where not to build or re-invest?

VII. Break-Out Groups to Brainstorm Future Actions



INFORMATION RESOURCES



Resources

- AASHTO: http://climatechange.transportation.org/
- Intergovernmental Panel on Climate Change (IPCC): http://www.ipcc.ch/
- US DOT Transportation and Climate Change Clearinghouse: http://climate.dot.gov/index.html
- FHWA Climate Change Program
 http://www.fhwa.dot.gov/hep/climate/index.htm
- The Pew Center on Global Climate Change: <u>http://www.pewclimate.org/</u>
- EPA Climate Change Program <u>http://www.epa.gov/climatechange/</u>
- TRB Climate Change Activities
 http://www.trb.org/main/SpecialtyPageClimateChange.aspx

Resources – Key Documents

- AASHTO, "Primer on Transportation and Climate Change," 2008
- NCHRP 20-24 (59), "Strategies for Reducing the Impacts of Surface Transportation on Global Climate Change," 2009
- European Council of Ministers of Transport, "Review of CO2 Abatement Policies for the Transport Sector," 2006
- U.S. DOE, "Annual Energy Outlook," 2009 (primary source of official U.S. data on energy and GHG)
- TRB Special Report 290: "Potential Impacts of Climate Change on U.S. Transportation," 2008
- Pew Center on Climate Change, "Climate Change 101" and "Reducing GHG Emissions from U.S. Transportation

Resources – AASHTO

- AASHTO Climate Change Steering Committee: CCSC acts as a focal point and coordinating body for AASHTO's activities related to climate change. CCSC members act as the focal point for AASHTO on climate change policy issues and provide oversight and guidance to AASHTO's Climate Change Technical Assistance Program.
- AASHTO Technical Assistance Program on Climate Change: This is a new, voluntary program that provides timely information, tools and technical assistance to assist AASHTO members in meeting the difficult challenges that arise related to climate change.

For more information on AASHTO's Climate Change Steering Committee and Climate Change Technical Assistance Program, please contact:

Caroline Paulsen at AASHTO (202) 624-8815 cpaulsen@aashto.org

Contact Info for Workshop Instructors & DDOT Contact

Cynthia J. Burbank

Parsons Brinckerhoff

burbank@pbworld.com

202-661-9262

Sarah J. Siwek

Sarah J. Siwek & Associates

ssiwek@aol.com

310-417-6660 x224

DDOT Contact:

Austina Casey

Austina.Casey@dc.gov

202-671-0494

Gary McVoy

Parsons Brinckerhoff

mcvoygr@pbworld.com

Mike Flood

Parsons Brinckerhoff

Flood@pbworld.com

John Zamurs

New York State Department of

Transportation

JZAMURS@dot.state.ny.us